

# Solar Wind Around Pluto

## SWAP

PRINCIPAL INVESTIGATOR  
Dave McComas, Princeton University

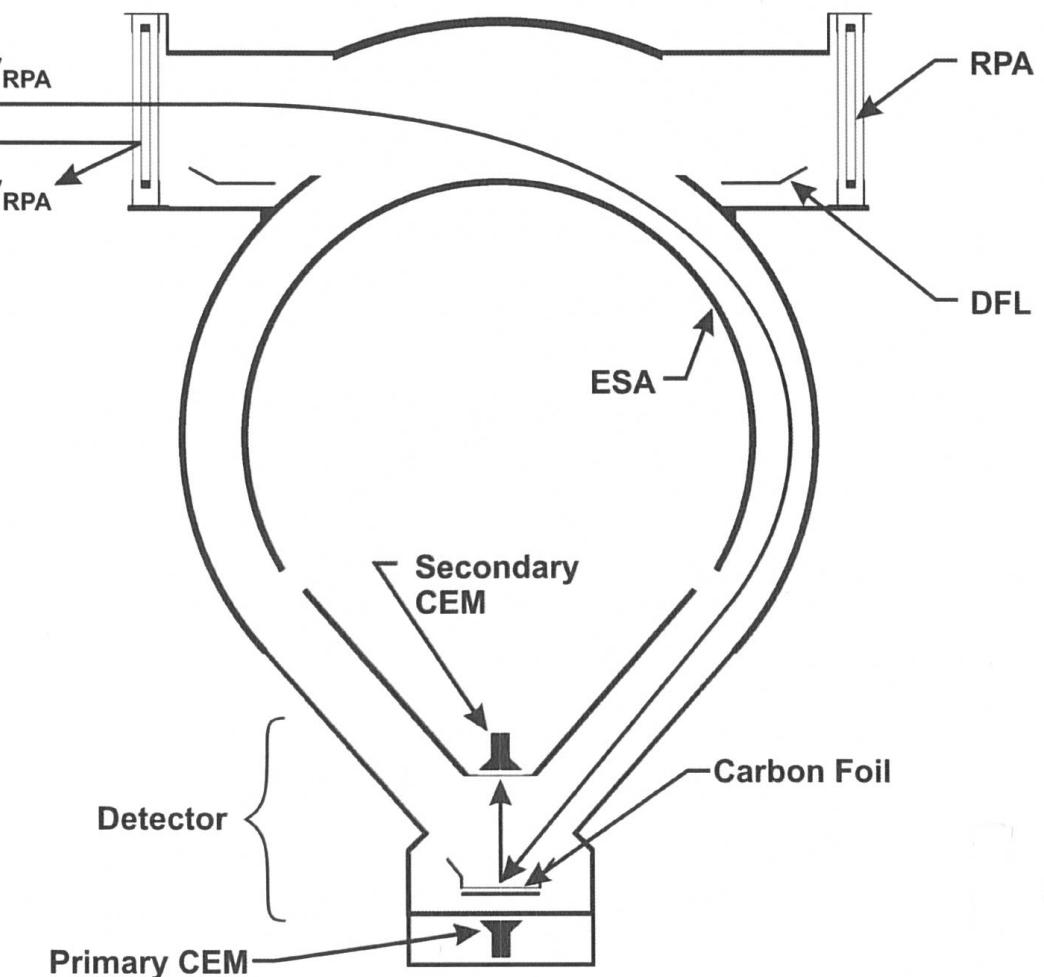
DESCRIPTION  
Low Energy Plasma Instrument

ENERGY RANGE  
30 eV - 7.7 keV

FIELD OF VIEW  
270 deg x 10 deg  
(deflection angles up to +15 deg)

ENERGY RESOLUTION  
1 eV (< 2 keV); 9% (> 2 keV)

SPECIES  
All Ions



# New Horizons SWAP Data Sets

RAW Data Sets:  
nh-a-swap-2-kem1-v2.0

CALIBRATED Data Sets:  
nh-a-swap-3-kem1-v2.0

# New Horizons SWAP Data Set Evaluation Tools

Staging and Evaluation -

Machine: Dell Precision T3400

Operating System: Fedora 27 linux

Data Processing -

Machine: Sun Ultra-350

Operating System: Sun Solaris OS 5.9

Minor Diagnostics -

Machine: IBM lenovo T60p ThinkPad

Operating System: Fedora 25 linux

# SWAP Documentation Evaluation

# nh-a-swap-2-kem1-v2.0/catalog

# nh-a-swap-3-kem1-v2.0/catalog

## dataset.cat – 1 or 2

DATA_SET_ID	= "NH-A-SWAP-3-KEM1-V2.0"
OBJECT	= DATA_SET_INFORMATION
START_TIME	= 2018-08-14T20:08:02.011
STOP_TIME	= 2019-01-30T18:08:02.177
DATA_SET_DESC	= "

### Data Set Overview

=====

This data set contains Calibrated data taken by  
the New Horizons Solar Wind Around Pluto instrument during the  
KEM1 ENCOUNTER mission phase.

SWAP comprises electro-optics and detectors to obtain count rate  
measurements of the solar wind; measuring the solar wind before,  
during and after the Pluto encounter will allow characterization  
of the atmospheric escape rate of Pluto. The SWAP electro-optic

New Horizons has moved way beyond Pluto and this dataset is describing the first encounter with a Kuiper Belt object (KBO). The science should reflect the fact that SWAP Is measuring the solar wind in the area of the KBO. Although not technically Incorrect, the emphasis should be on KBO and not on Pluto.

nh-a-swap-2-kem1-v2.0/catalog  
nh-a-swap-3-kem1-v2.0/catalog  
dataset.cat – 2 or 2

The leapsecond adjustment ( $\text{DELTA\_ET} = \text{ET} - \text{UTC}$ ) was 65.184s at NH launch, and the first three additional leapseconds occurred in at the ends of December, 2009, June, 2012 and June, 2015. Refer to the NH SPICE data set, NH-J/P/SS-SPICE-6-V1.0, and the SPICE toolkit documentation, for more details about leapseconds.

Update text to include leapsecond at the end on December 2017.  
Review all New Horizons trajectory information to determine the Influence and if reprocessing trajectory information is necessary.

# nh-a-swap-2-kem1-v2.0/catalog

# nh-a-swap-3-kem1-v2.0/catalog

## nh\_kem.cat

This Looks Odd

voldesc.cat gives an end date  
for this mission phase as



This end date was just over a year ago.

The nominal start and stop times for the KEM1 mission phase are  
2018-08-14T20:08:02.011  
and  
2019-01-30T18:08:02.177

Short name	Start(1,3)	Stop(2,3)	Full MISSION_PHASE_NAME, plus optional Description
KEM1	2018-08-14	TBD	KEM1 ENCOUNTER, KB01 ENCOUNTER, KB01 approach, flyby, post-encounter (4)

4 These mission phase dates and/or designations are still under consideration and will most likely change in future versions of this mission catalog.

Yet this file and note do not yet show an end date.  
In addition, the SWAP data do not exceed the Date given in voldesc.cat file.

OBJECT = MISSION\_INFORMATION  
MISSION\_START\_DATE = 2016-10-26  
MISSION\_STOP\_DATE = 2021-09-30

However, this end date for the mission is given in this file.

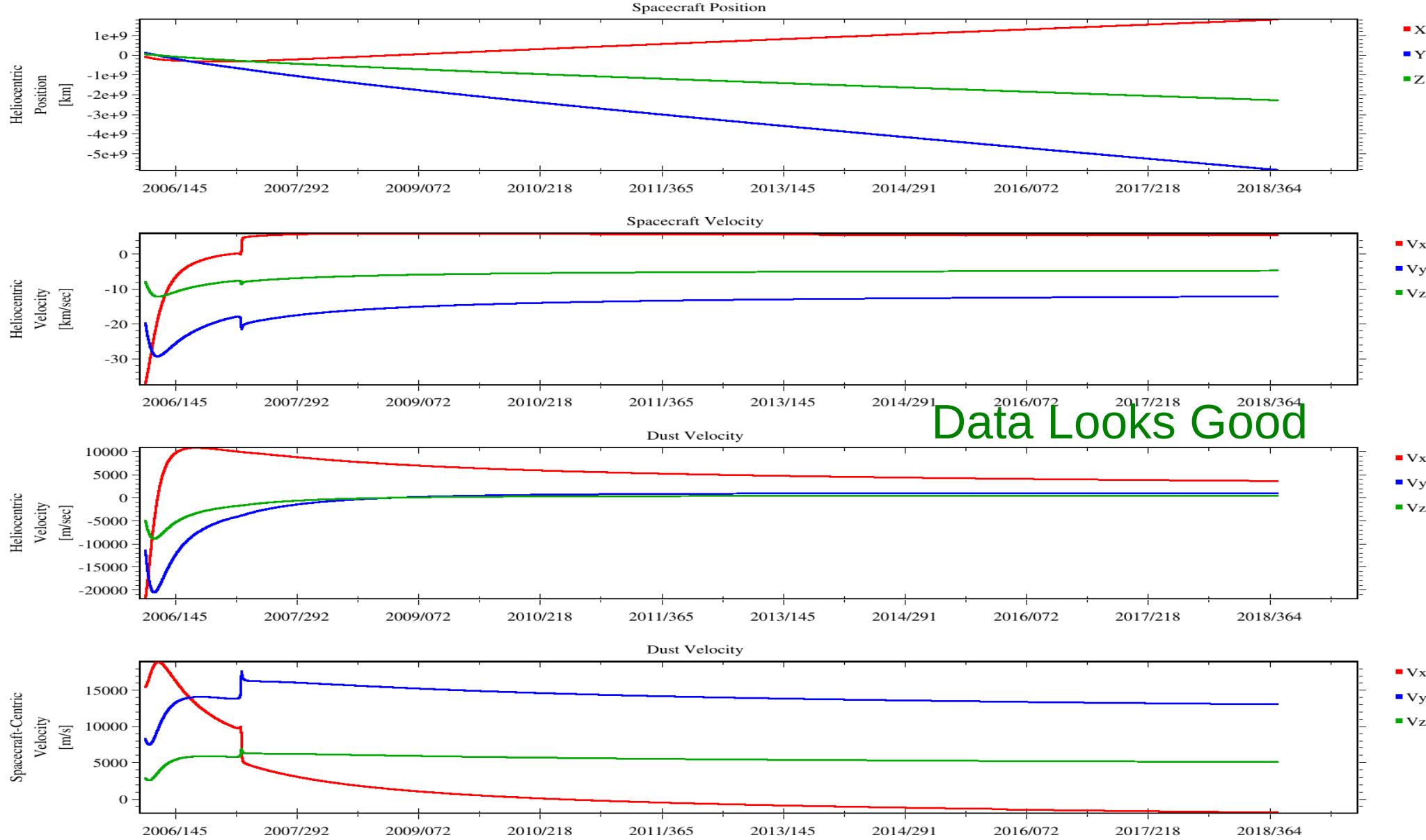
nh-a-swap-2-kem1-v2.0/catalog  
nh-a-swap-3-kem1-v2.0/catalog  
nhsc.cat

This document needs to be updated. There are references to measurements at Pluto in numerous places. New Horizons is no longer measuring Pluto and is essentially a new mission to the Kuiper Belt. The instrument description gives no confidence that the New Horizons instruments can measure properties of a KBO. The focus of this document is on Pluto and does not discuss the capabilities of the instruments beyond Pluto. I suspect that this is a hold-over document which was never updated for the current extended mission.

# nh-a-swap-2-kem1-v2.0/document

# nh-a-swap-3-kem1-v2.0/document

## nh\_mission\_trajectory.tab



nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
swap\_cal.pdf – 1 of 3

uncertainty in the location of the center of the ESA passband to about 10% for ions 7

Figure 5: Diagram defining the roll ( $\phi$ ) angle. The view is looking at the top of the instrument towards the spacecraft. entering anywhere in the  $10^\circ$  instrument Field-Of-View (see Figure 31 of [MCCOMASETAL2008]). We then use the RPA and ESA

This text reads oddly, so a comparison of this document with that from the kemcruise1 phase was performed. This comparison shows that the underlined text was inserted In the middle of the old sentence. It is assumed that this text was inserted by accident and should be removed.

In addition, the Roll angle is defined in Figure 11 whereas Figure 5 is the variation in the ESA response width with respect to the Azimuth.

nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
swap\_cal.pdf – 2 of 3

Caption of Figure 8 is not on the same page as the Figure. In addition, the axis labels of Figure 8 need to be enhanced since they are almost unreadable.

Figure 10 is not called out in the text and is a graphical representation of the azimuth angle definition. Figure 11 is not called out in the text and is a graphical representation of the roll angle definition. Both of these figures are necessary in this document and should be called out and described in the text.

nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
swap\_cal.pdf – 3 of 3

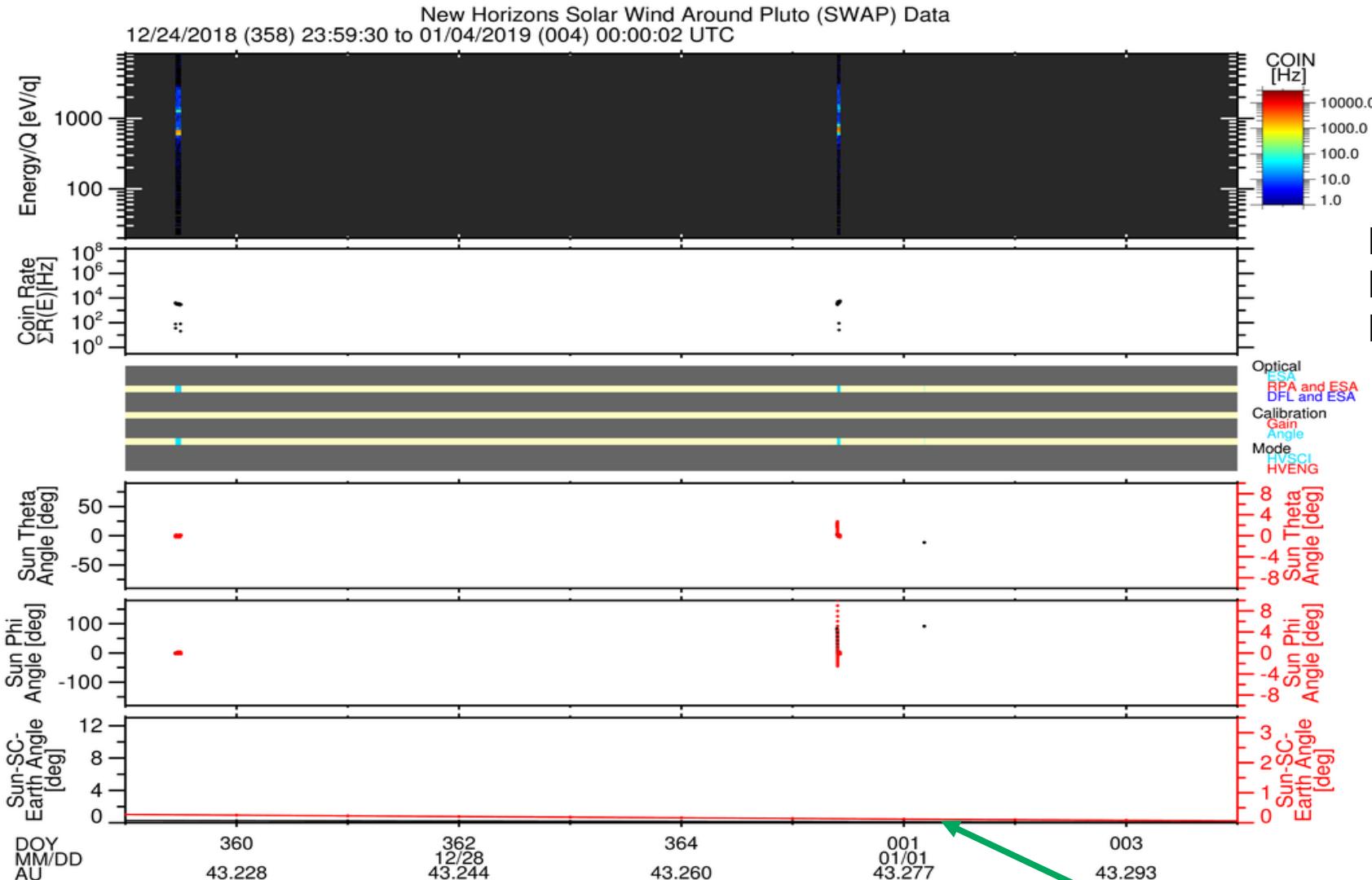
on calibration measurements taken during the sequence SWAP-009-6. In Figure 7 we show the SWAP-009-6 data for days 290-292, 299-301, 304-309, 316-318, 320-321, 335-339, 343- 344 used to create the background files. Here we plot the count rates in Hz times the square of the distance from the Sun in AU ( $R_{\text{Sun,AU}}$ ) versus the energy. Most of the vertical spikes are the solar wind peaks. In the middle panel the solar wind peak and 3 points below and above the energy of the peak have been removed from each scan individually. The bottom panel of Figure 7 is an average of the top bin where the average

**Figure 7 is the voltage variation of the CEMs.  
This text describes Figure 9. Please update.**

[nh-a-swap-2-kem1-v2.0/document](#)  
[nh-a-swap-3-kem1-v2.0/document](#)  
[soc\\_inst\\_icd.pdf](#)

This document should be updated with the proper pros to indicate that SOC processing continues beyond Pluto and what changes if anything. There are odd items which seem to be missing, like the last entry in the CEM Gain Test list in Table 14-1 is in 2008. The last reference given in section 14.11, SWAP Publications To Date, looks like it has not been updated since 2010. Additional information about operation post the Jupiter encounter is lacking, including information about the current operation and processing of the SWAP by the SOC. This document needs to be examined and updated accordingly.

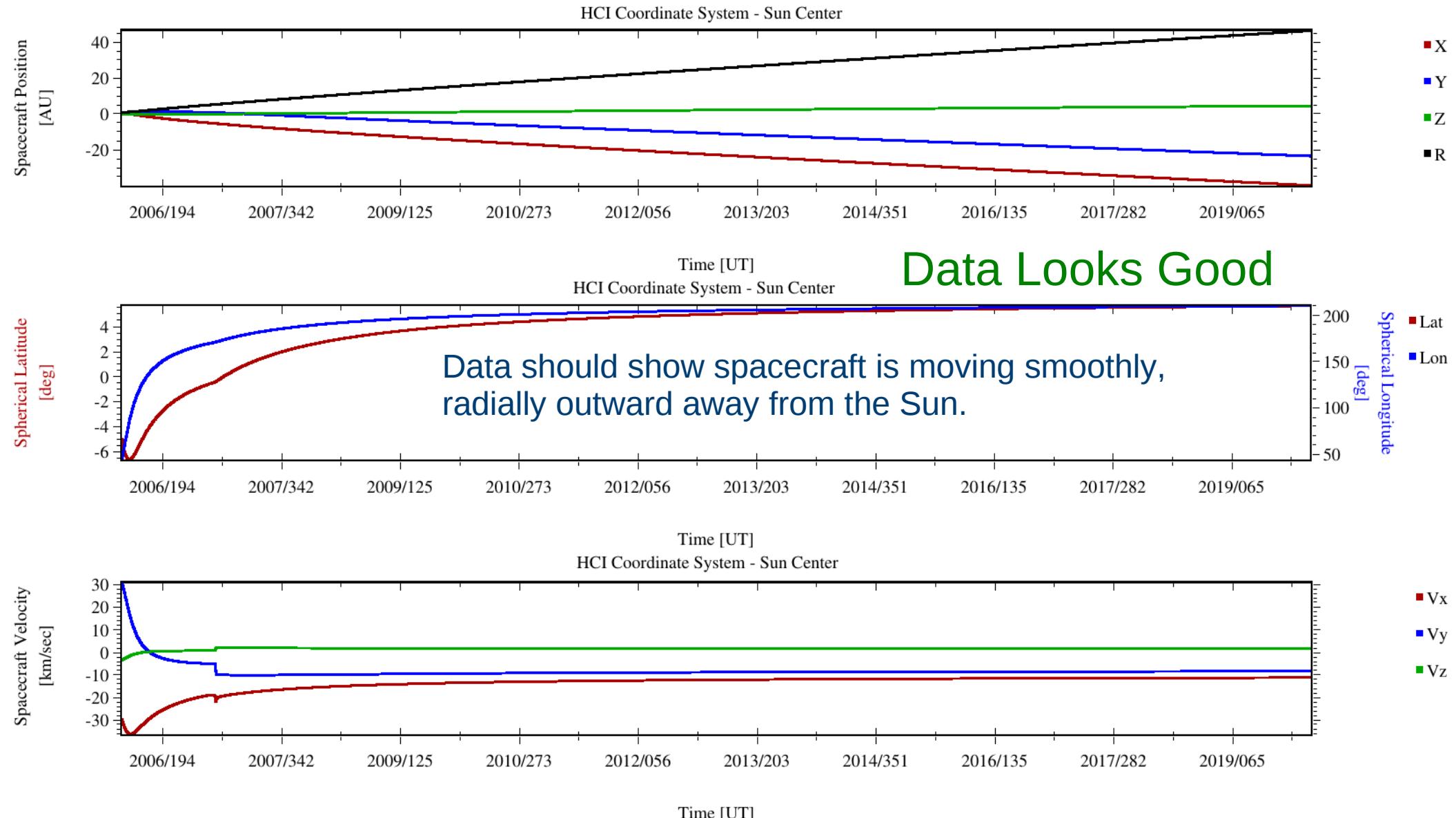
nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
data\_summary\_plots/swap\_010day\_201812242359.png



Encounter  
Day Summary  
Plot

Encounter – No Data

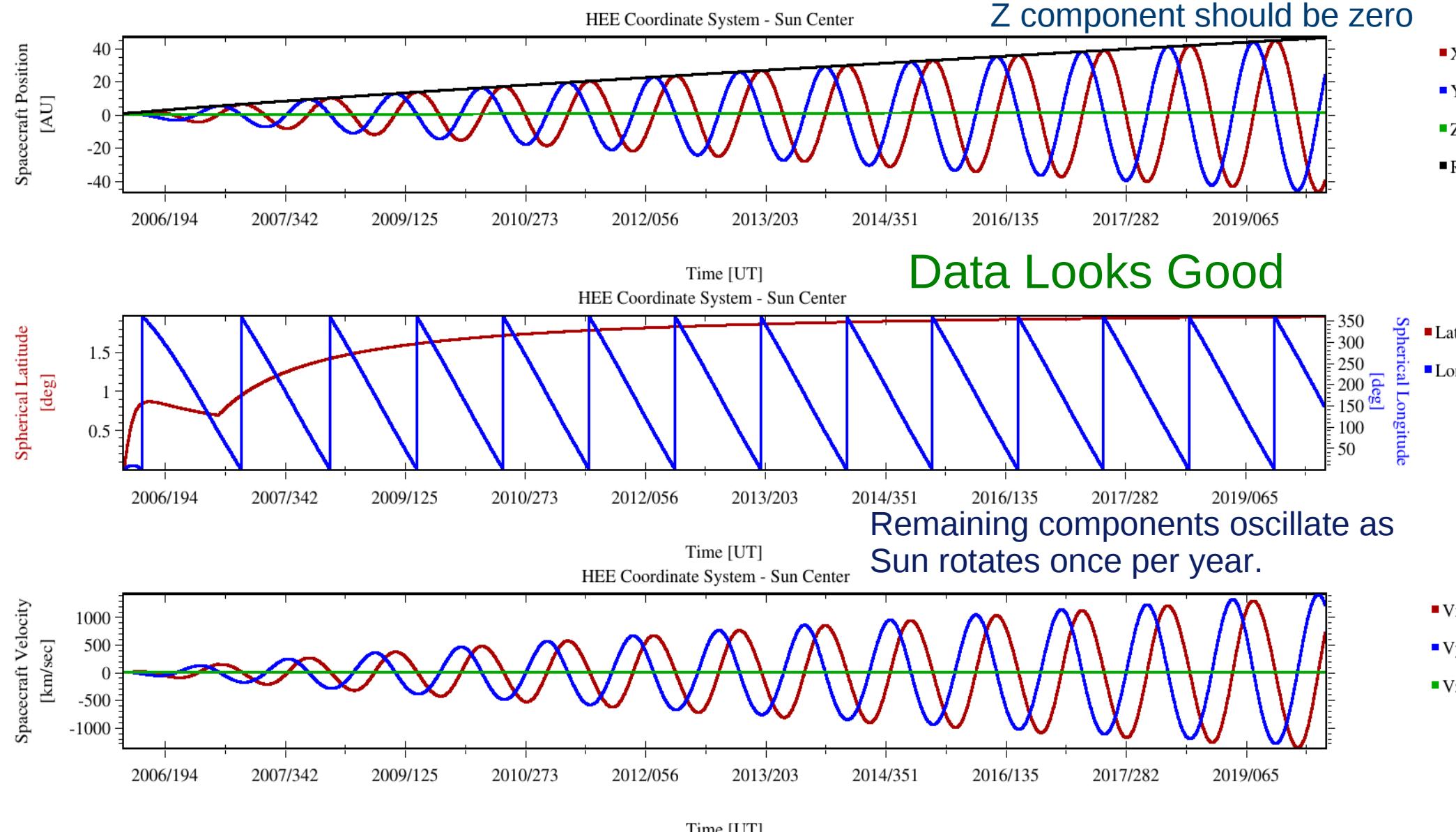
nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
traj/traj\_2006\_2021\_1d.tab



# nh-a-swap-2-kem1-v2.0/document

# nh-a-swap-3-kem1-v2.0/document

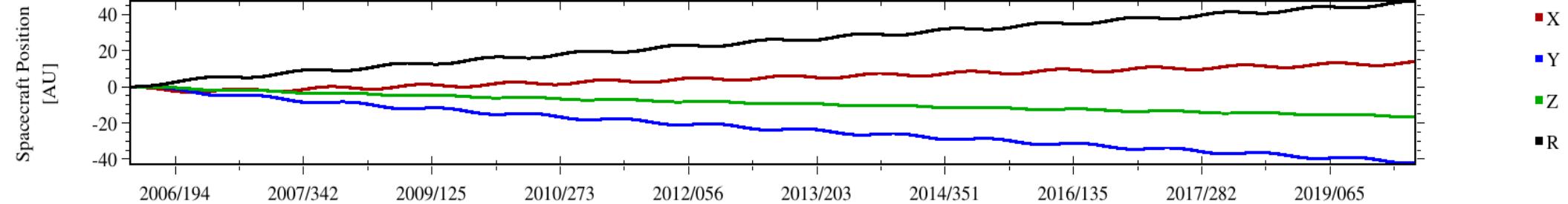
## traj/traj\_2006\_2021\_1d.tab



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traj/traj\_2006\_2021\_1d.tab

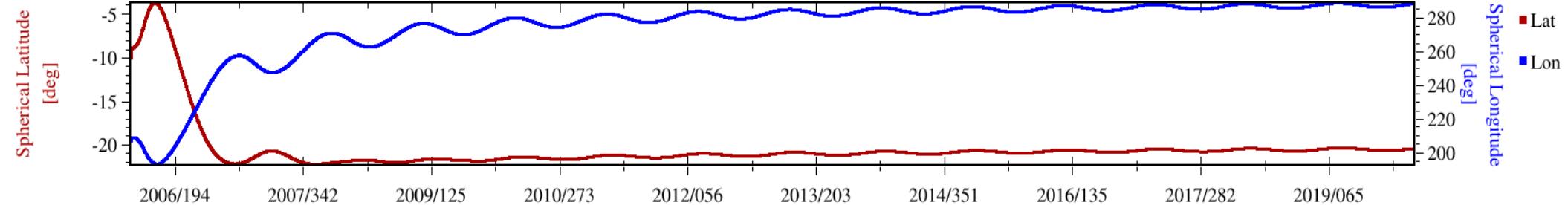
J2000 Coordinate System - Earth Center

Spacecraft should move outward



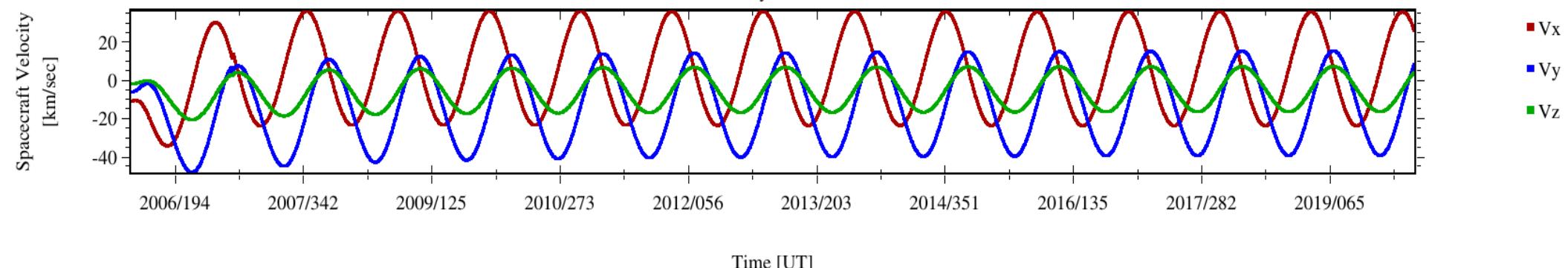
Time [UT]  
J2000 Coordinate System - Earth Center

Data Looks Good

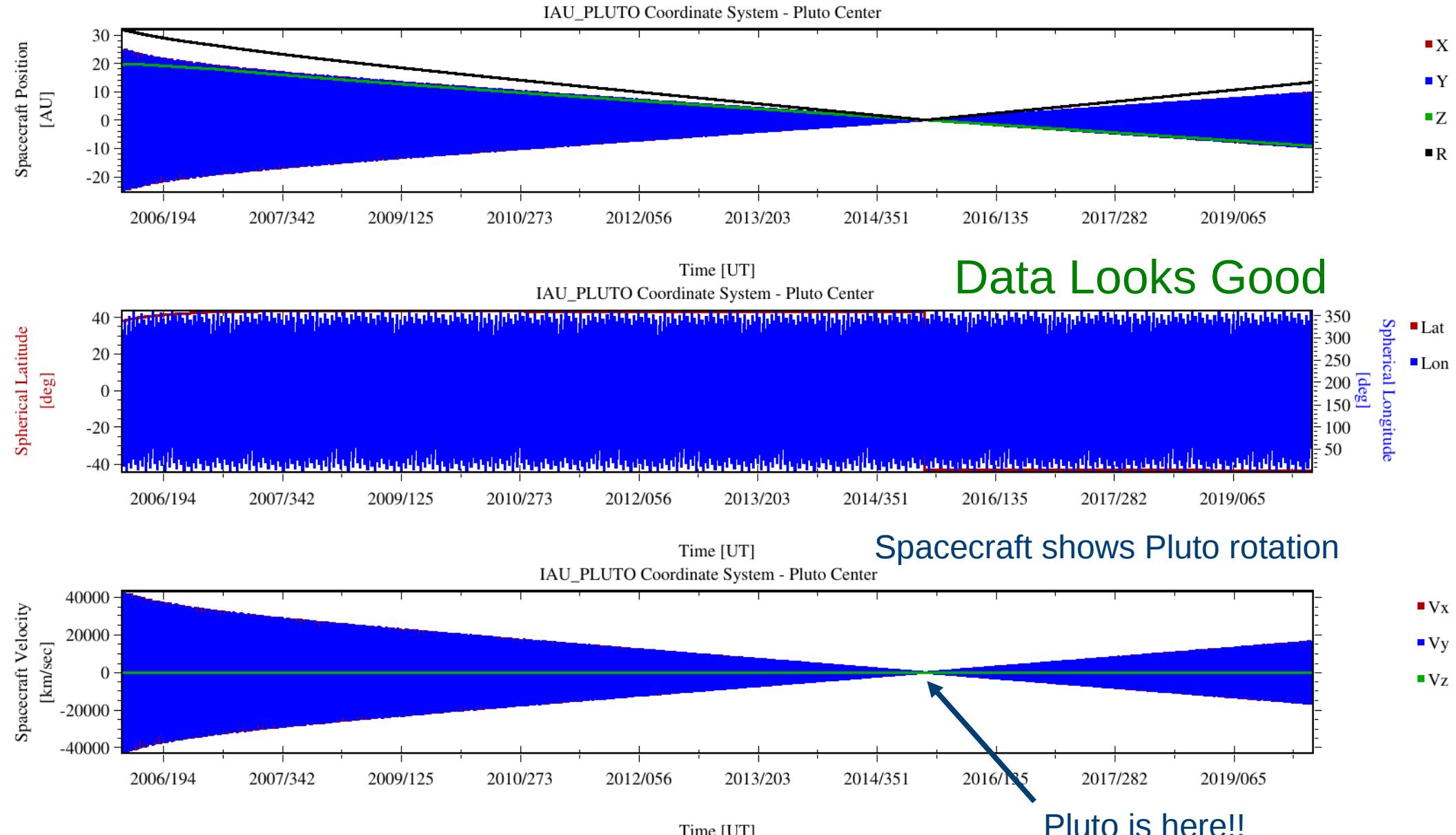


Time [UT]  
J2000 Coordinate System - Earth Center

Oscillations due to Earth orbital movement

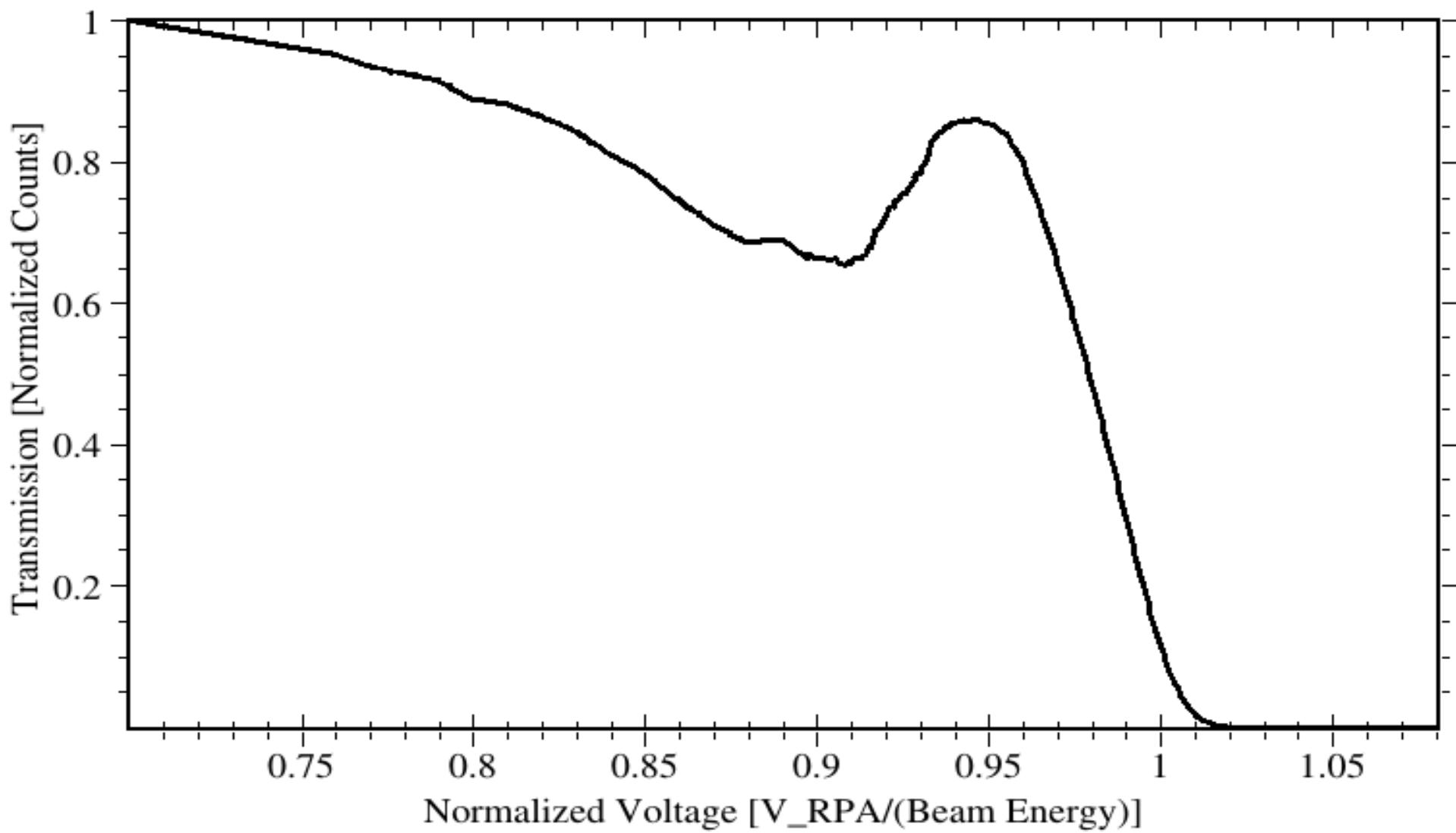


nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
traj/traj 2006 2021 1d.tab



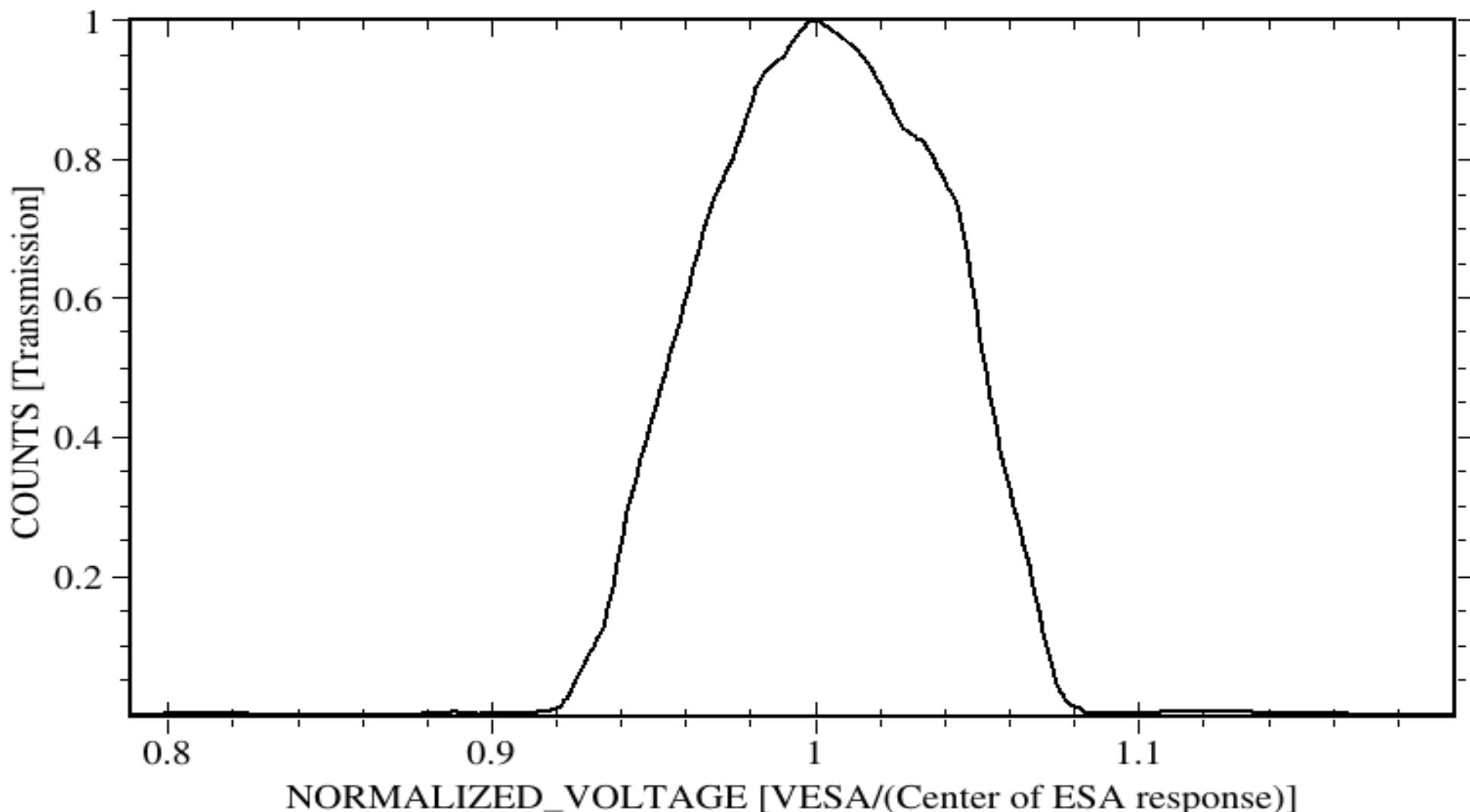
nh-a-swap-2-kem1-v2.0/calib  
nh-a-swap-3-kem1-v2./calib  
rpa\_shape.tab

RPA Response Curve



nh-a-swap-2-kem1-v2.0/calib  
nh-a-swap-3-kem1-v2./calib  
esa\_shape.tab

SWAP esa\_shape.tab

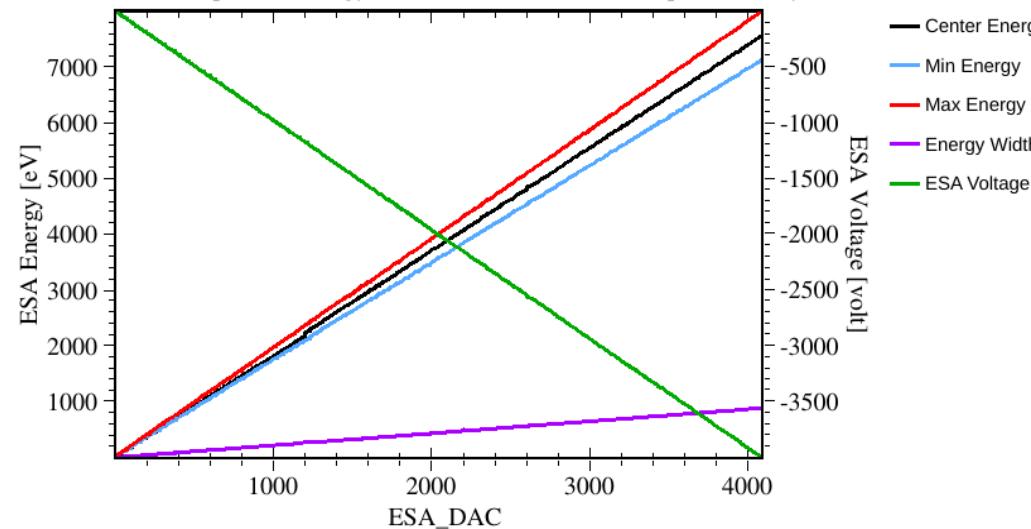


# nh-a-swap-2-kem1-v2.0/calib

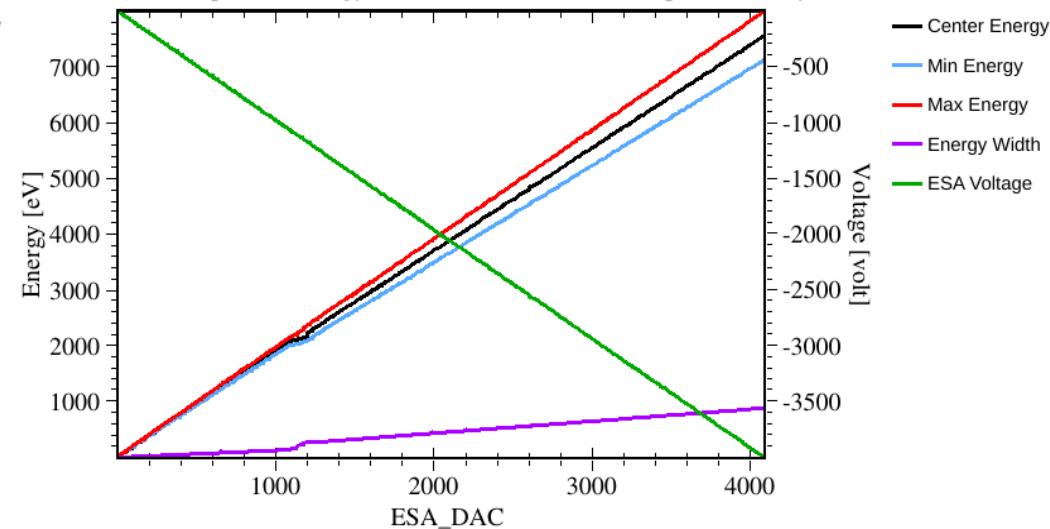
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## esa\_rpa\_v16\_energy\_binsf\_new.tab

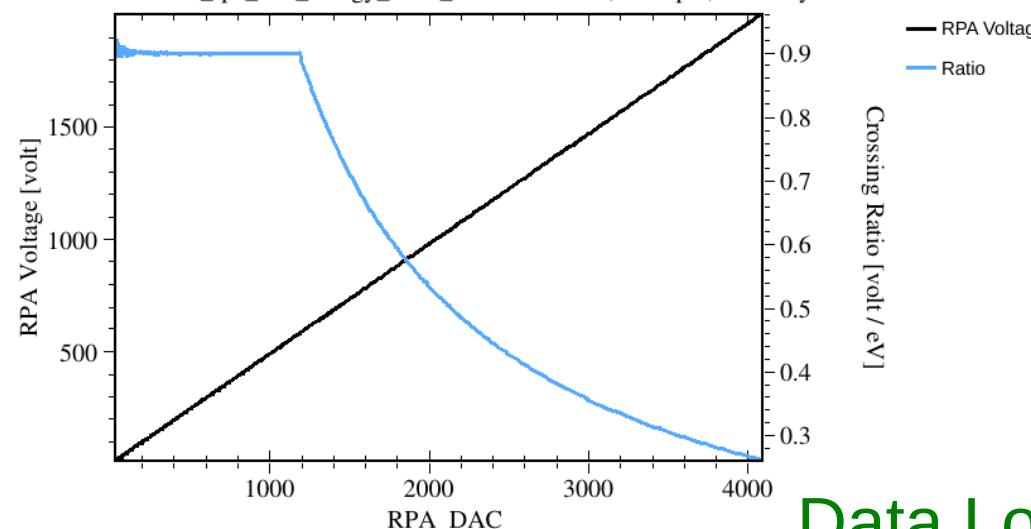
SWAP esa\_rpa\_v16\_energy\_binsf\_new.tab: Plan 0, Sweep 0, 1st Entry



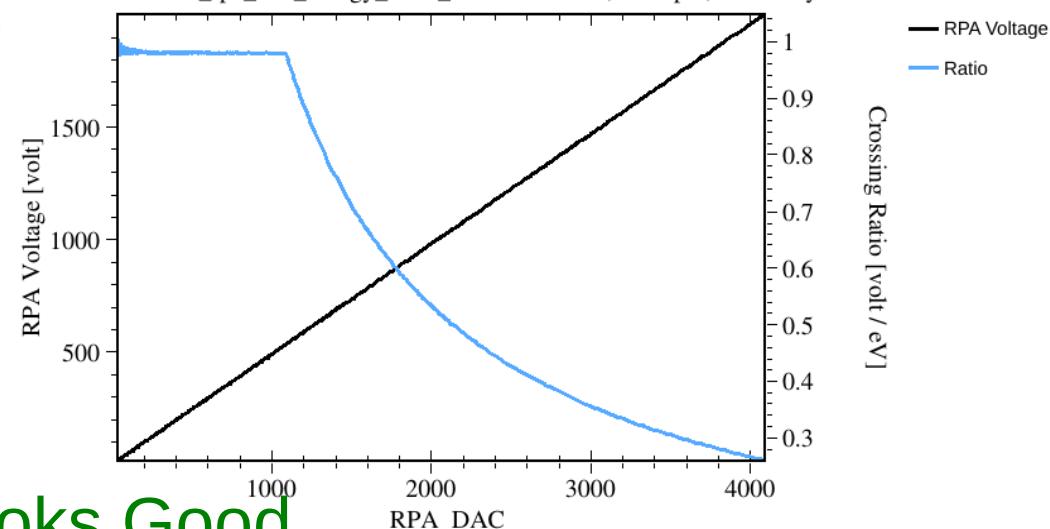
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SWAP esa\_rpa\_v16\_energy\_binsf\_new.tab: Plan 0, Sweep 0, 1st Entry



SWAP esa\_rpa\_v16\_energy\_binsf\_new.tab: Plan 0, Sweep 0, 2nd Entry



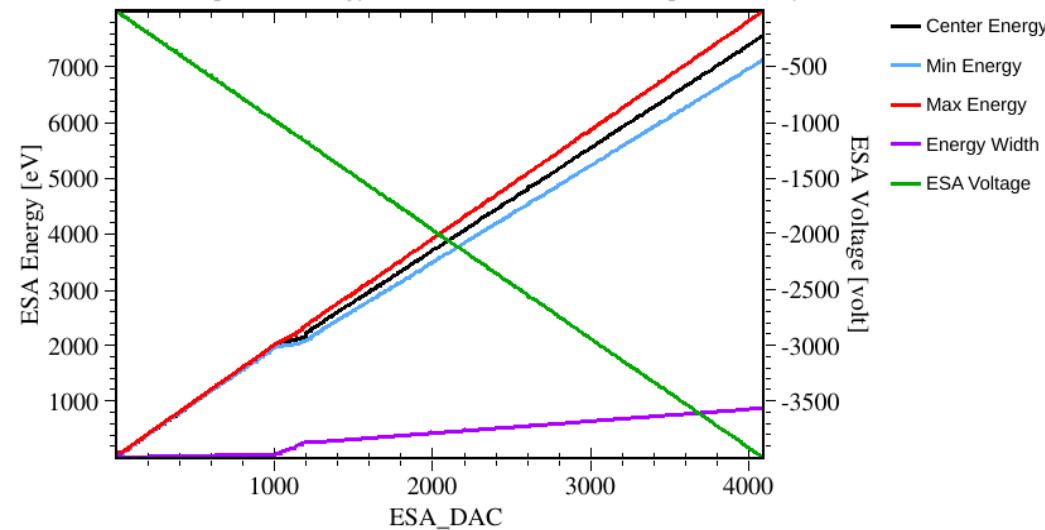
Data Looks Good

# nh-a-swap-2-kem1-v2.0/calib

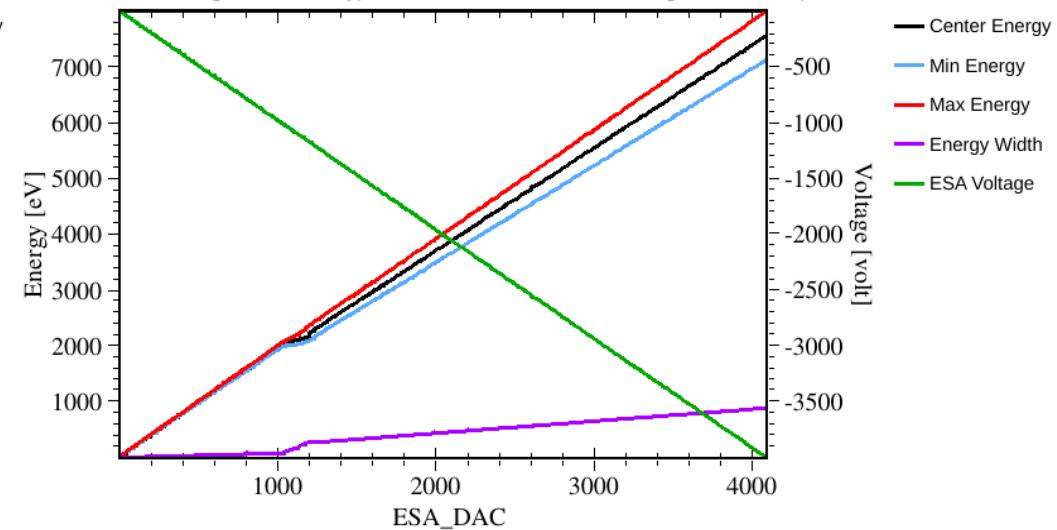
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# esa\_rpa\_v18\_energy\_binsf\_new.tab

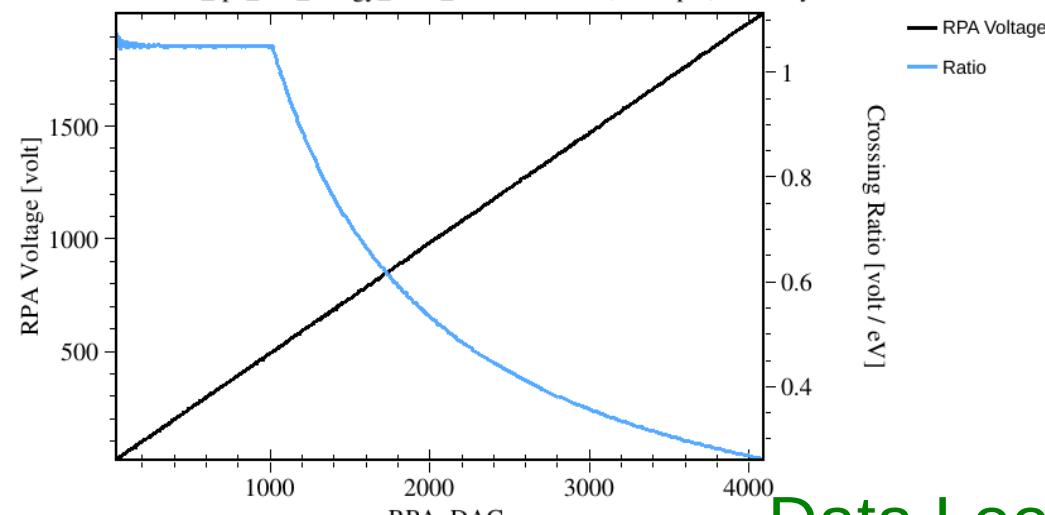
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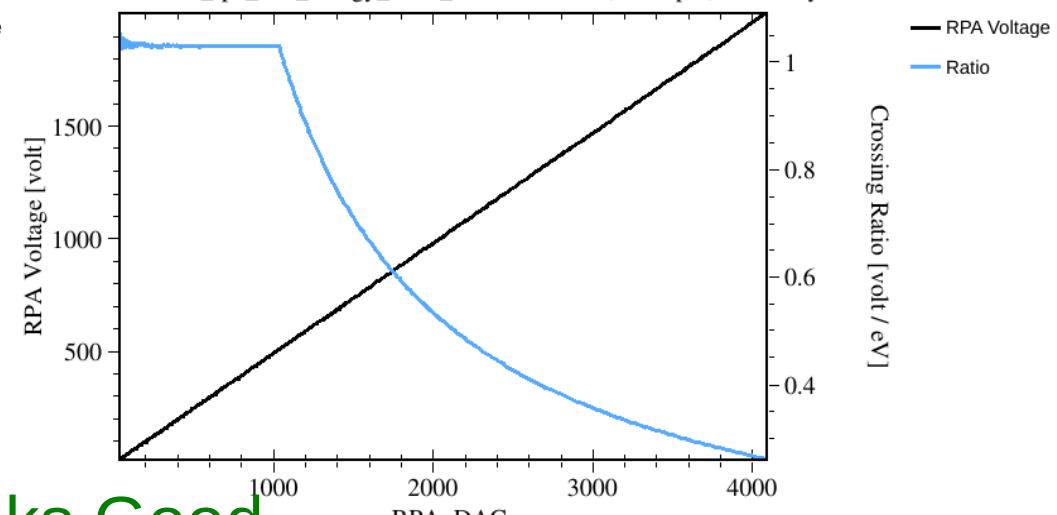
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SWAP esa\_rpa\_v18\_energy\_binsf\_new.tab: Plan 3, Sweep 3, 1st Entry



SWAP esa\_rpa\_v18\_energy\_binsf\_new.tab: Plan 4, Sweep 4, 2nd Entry



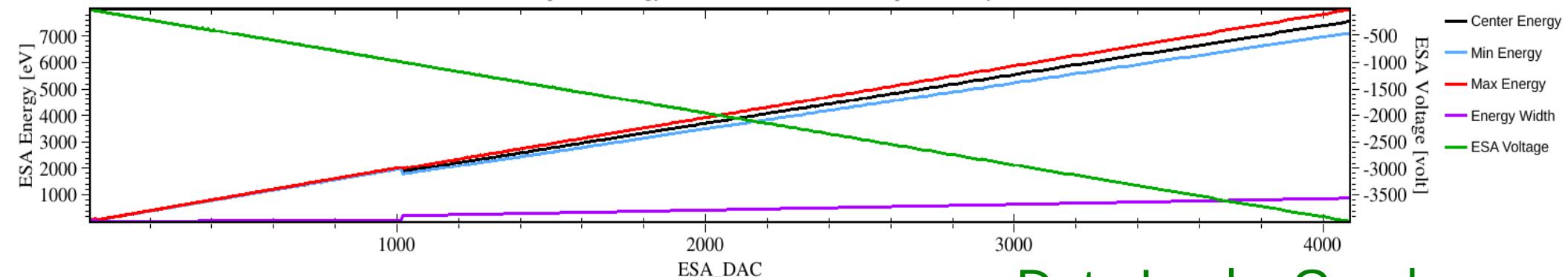
Data Looks Good

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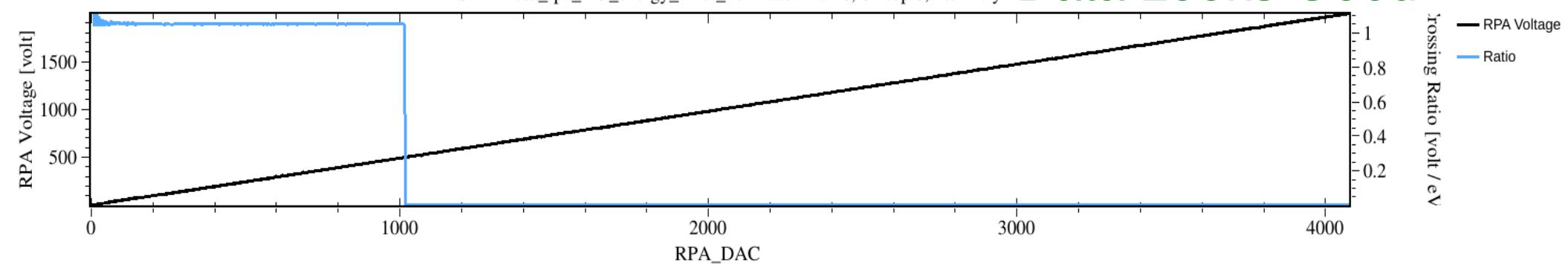
## esa\_rpa\_v19\_energy\_binsf\_new2.tab

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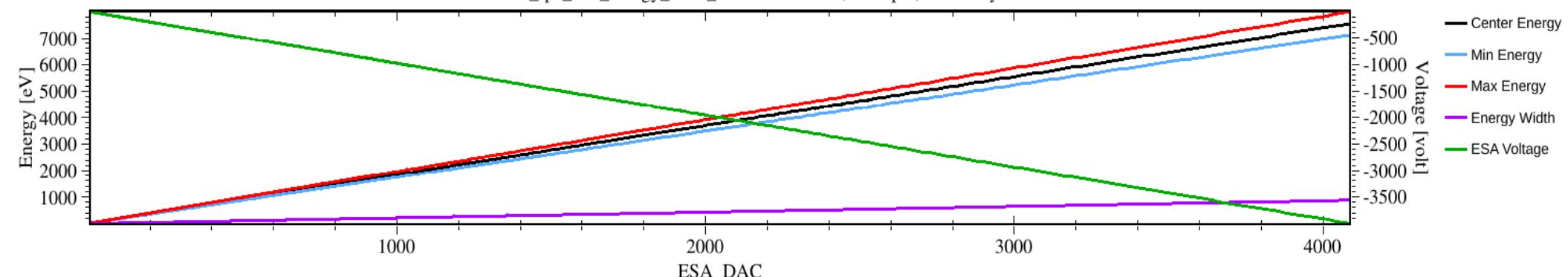


Data Looks Good

SWAP esa\_rpa\_v19\_energy\_binsf\_new2.tab: Plan 0, Sweep 0, 1st Entry



SWAP esa\_rpa\_v19\_energy\_binsf\_new2.tab: Plan 5, Sweep 5, 2nd Entry

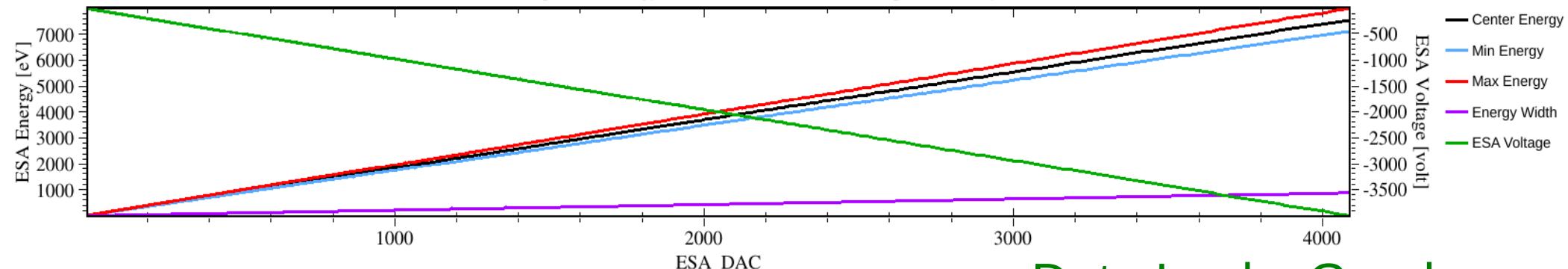


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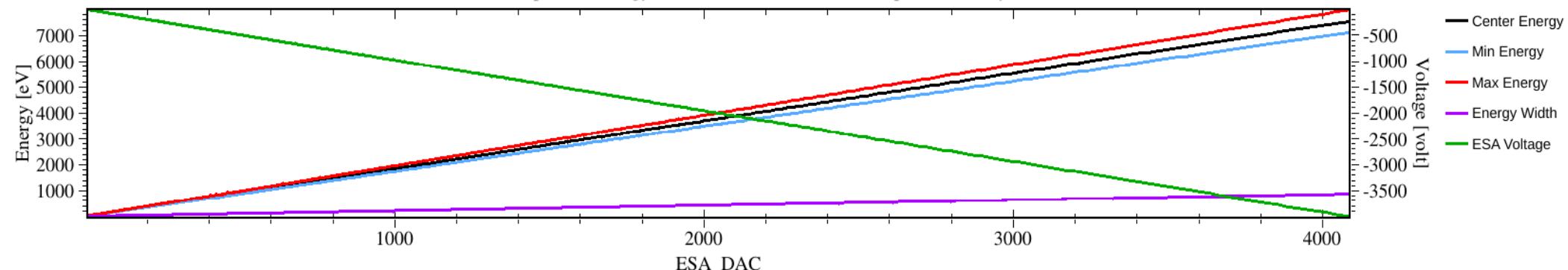
# esa\_rpa\_v19\_energy\_binsf\_new2.tab

SWAP esa\_rpa\_v19\_energy\_binsf\_new2.tab: Plan 2, Sweep 2, 3rd Entry

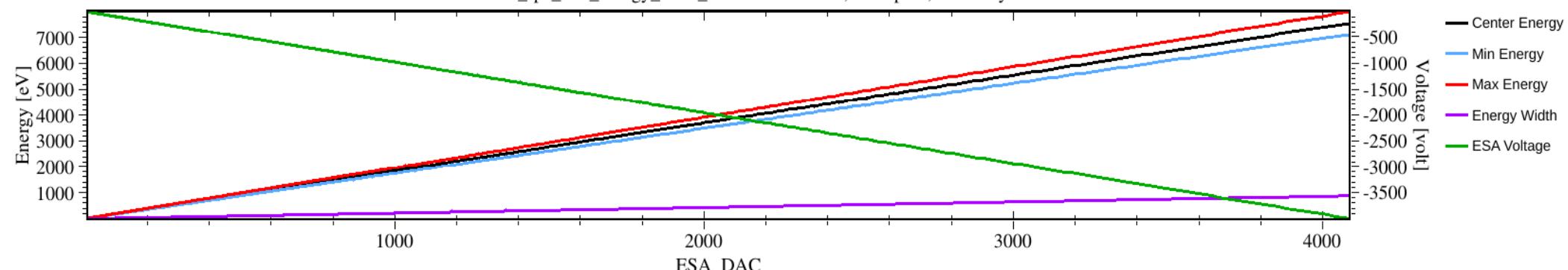


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Data Looks Good



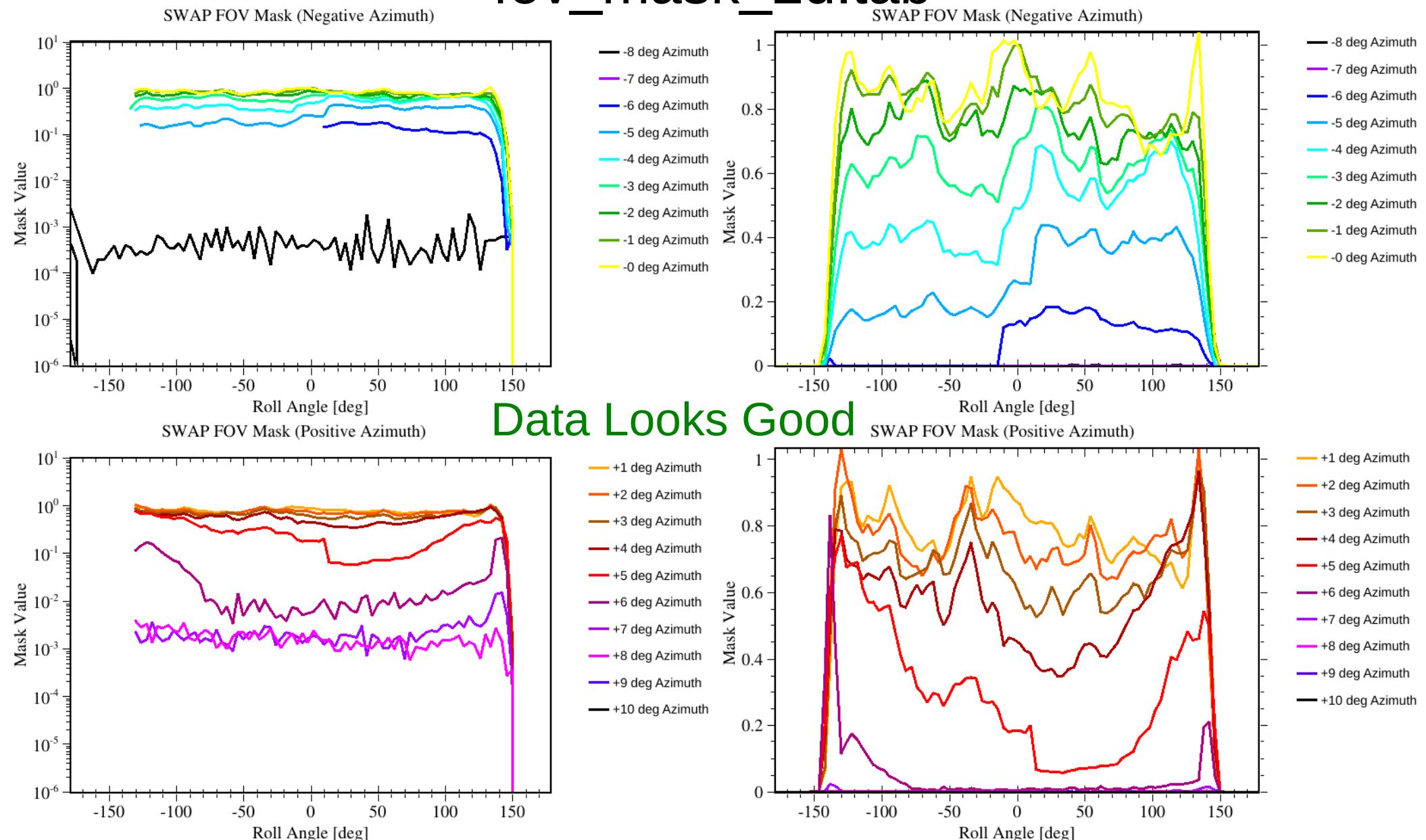
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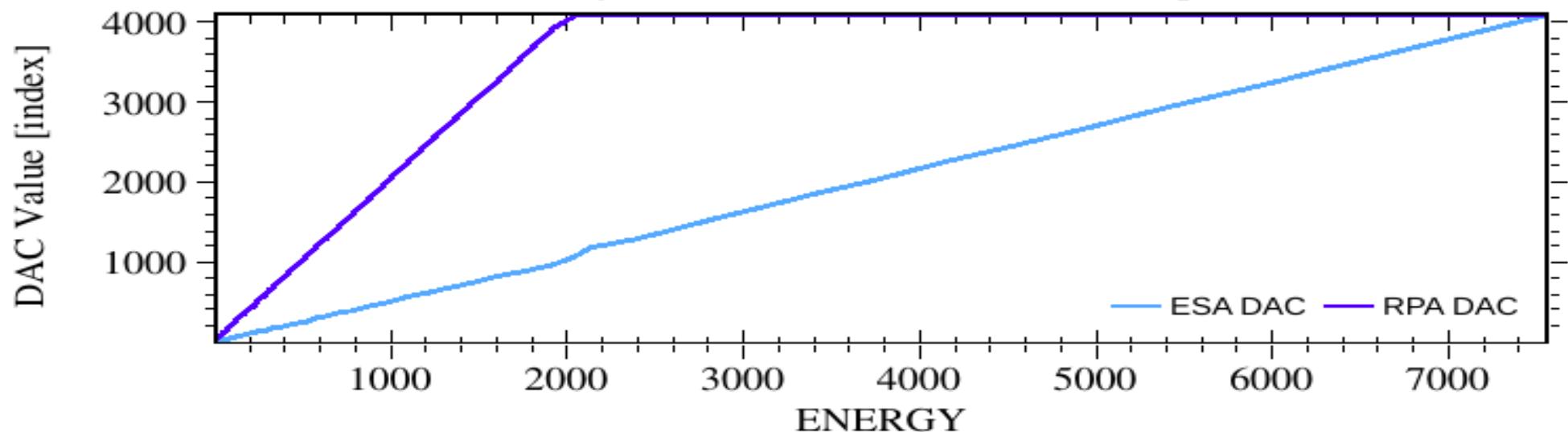
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## fov\_mask\_2d.tab

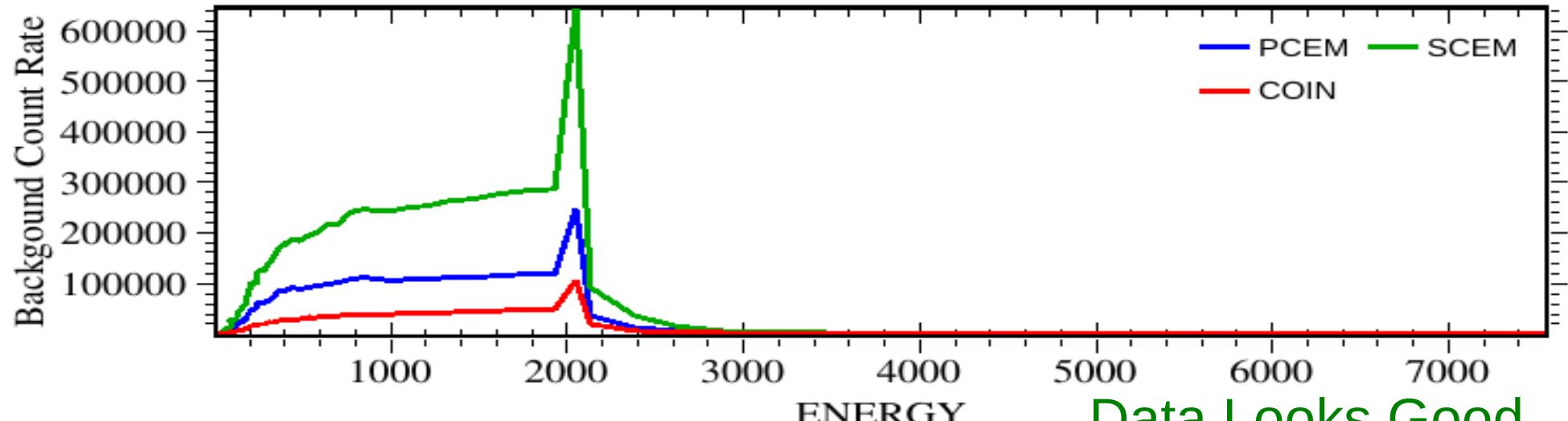


nh-a-swap-2-kem1-v2.0/calib  
nh-a-swap-3-kem1-v2.0/calib  
background\_009\_dac.tab

SWAP background\_009\_dac.tab: Plan 3, Sweep 3



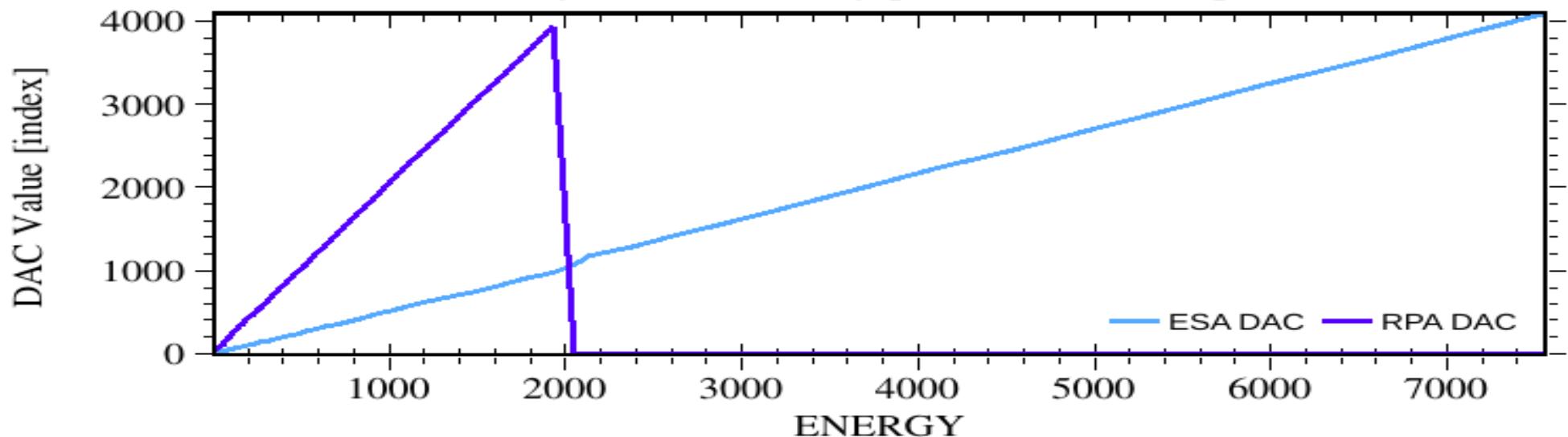
SWAP background\_009\_dac.tab: Plan 3, Sweep 3



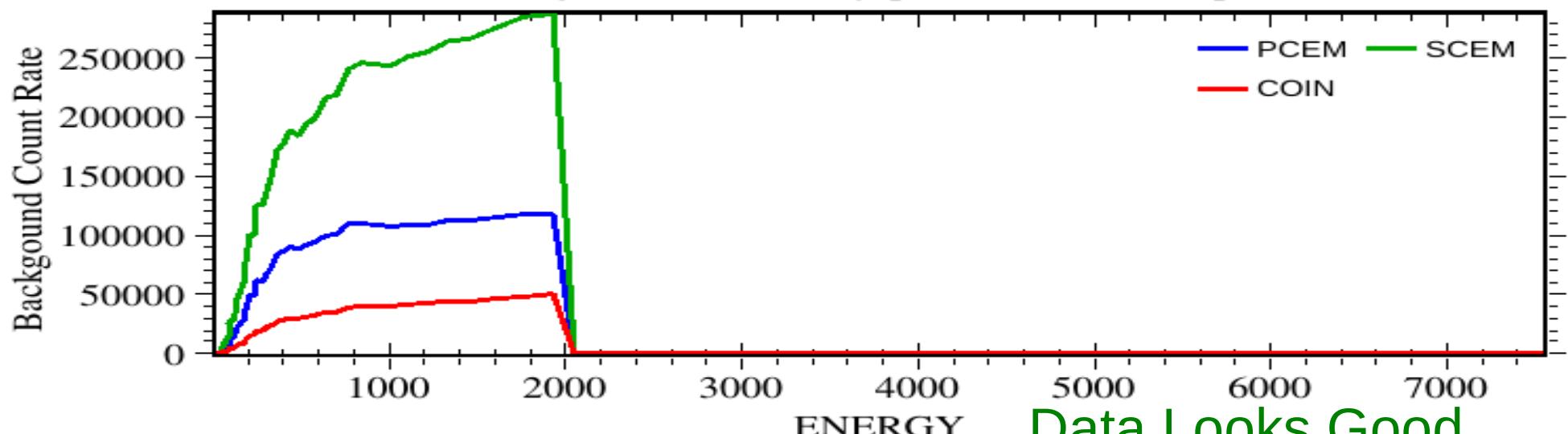
Data Looks Good

nh-a-swap-2-kem1-v2.0/calib  
nh-a-swap-3-kem1-v2.0/calib  
background\_009\_dac\_jup.tab

SWAP background\_009\_dac\_jup.tab: Plan 0, Sweep 0



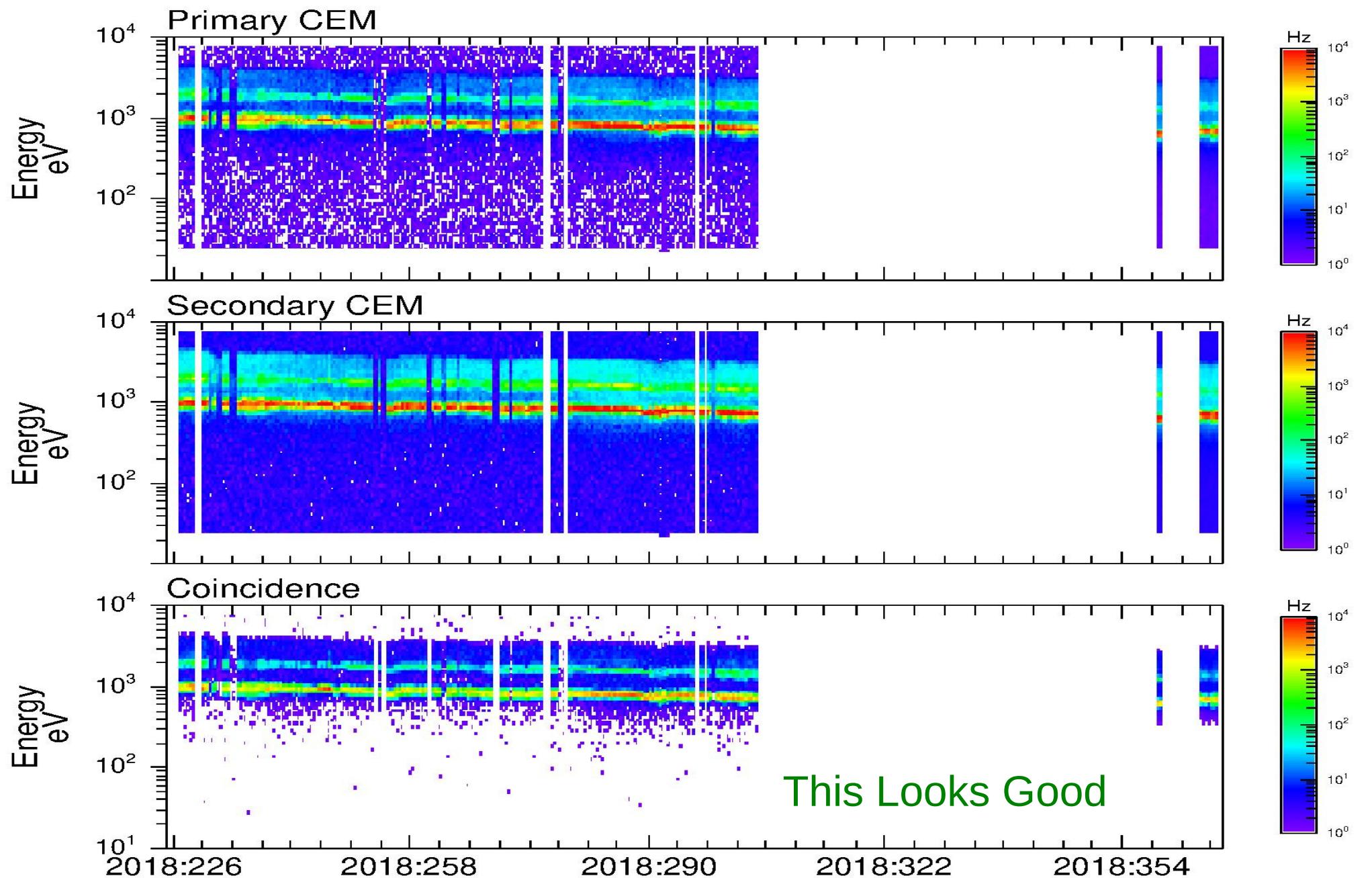
SWAP background\_009\_dac\_jup.tab: Plan 0, Sweep 0



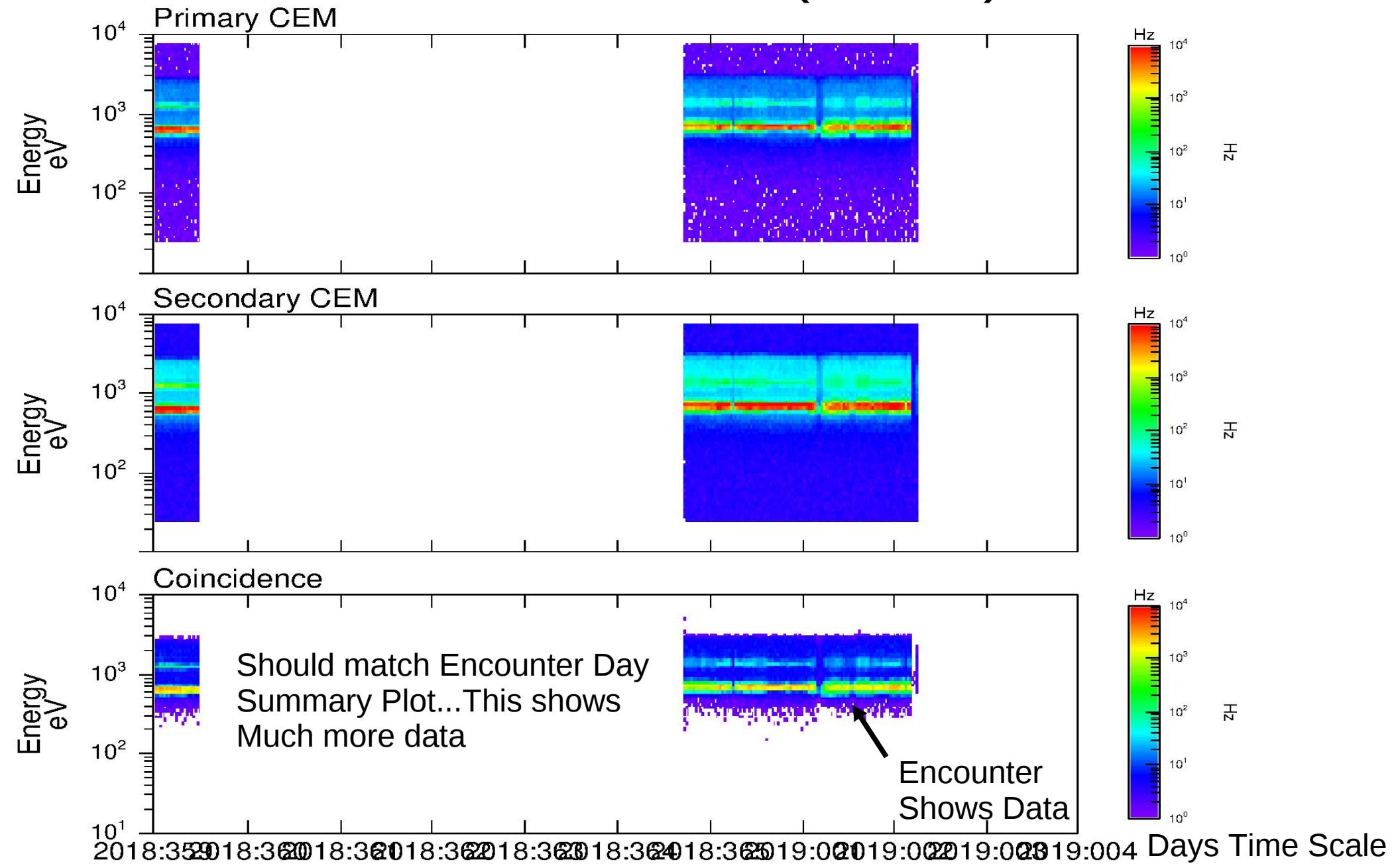
Data Looks Good

# SWAP Data Evaluation

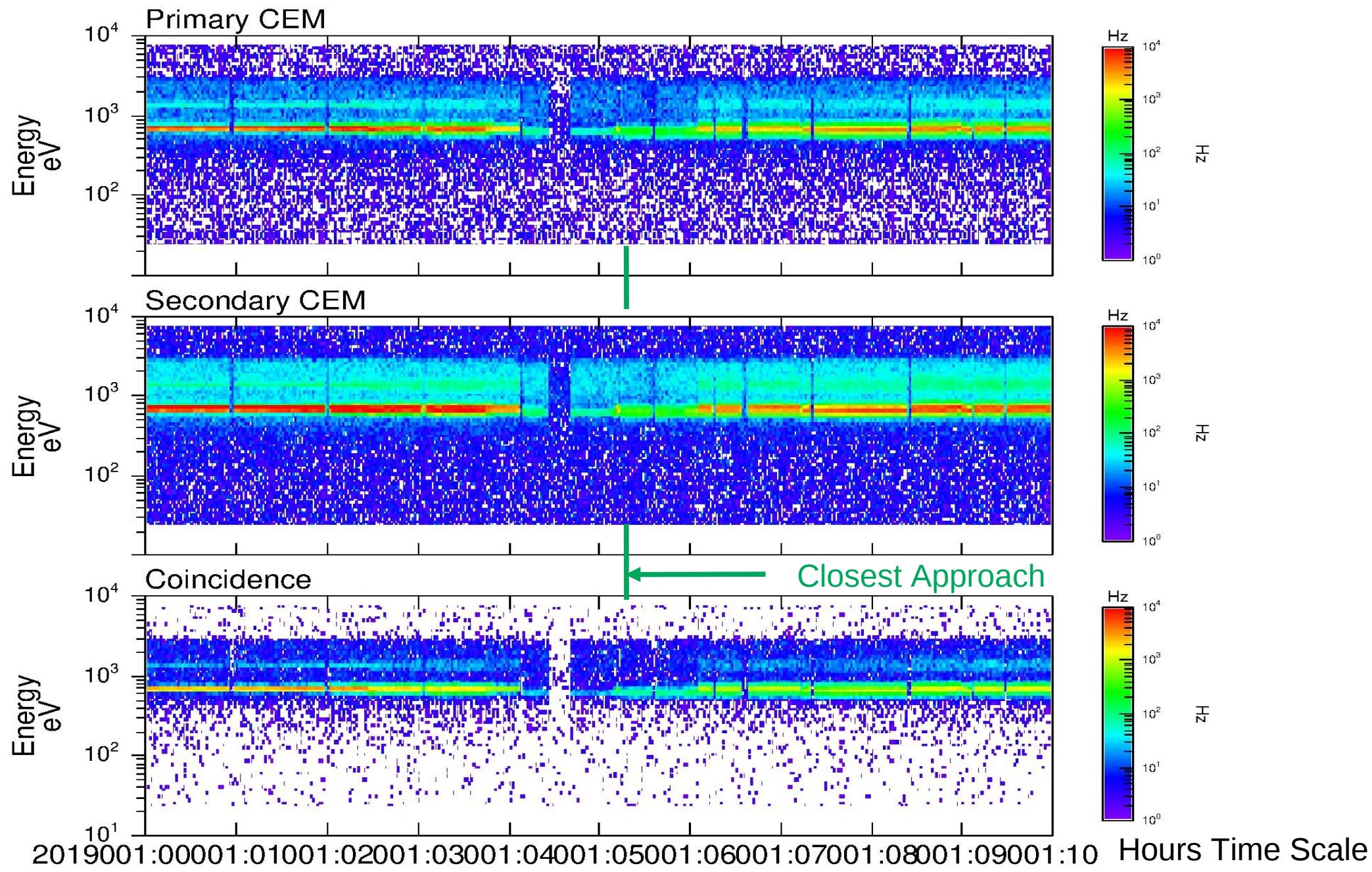
# nh-a-swap-3-kem1-v2.0/data Science Data (0x584)



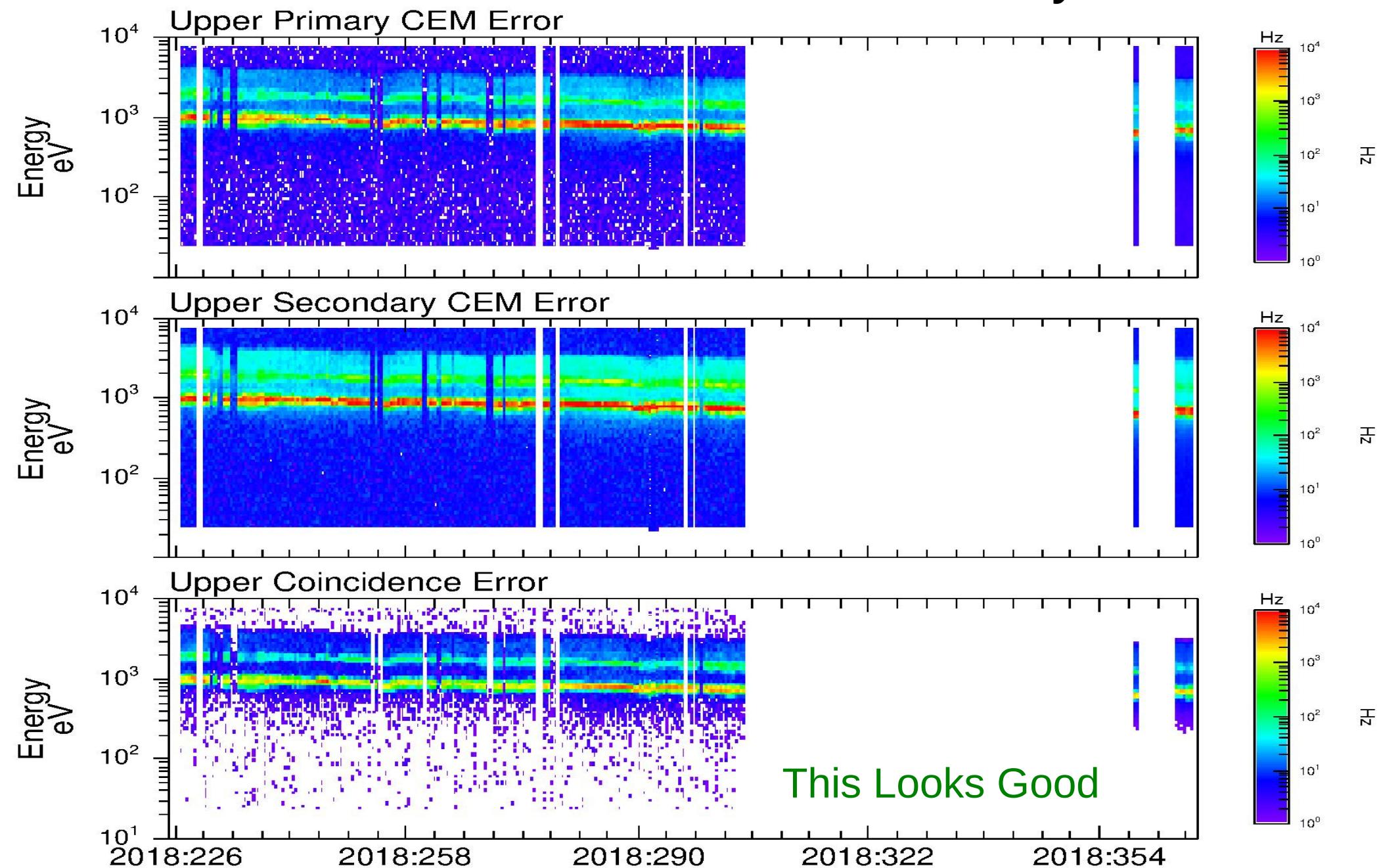
# nh-a-swap-3-kem1-v2.0/data Science Data (0x584)



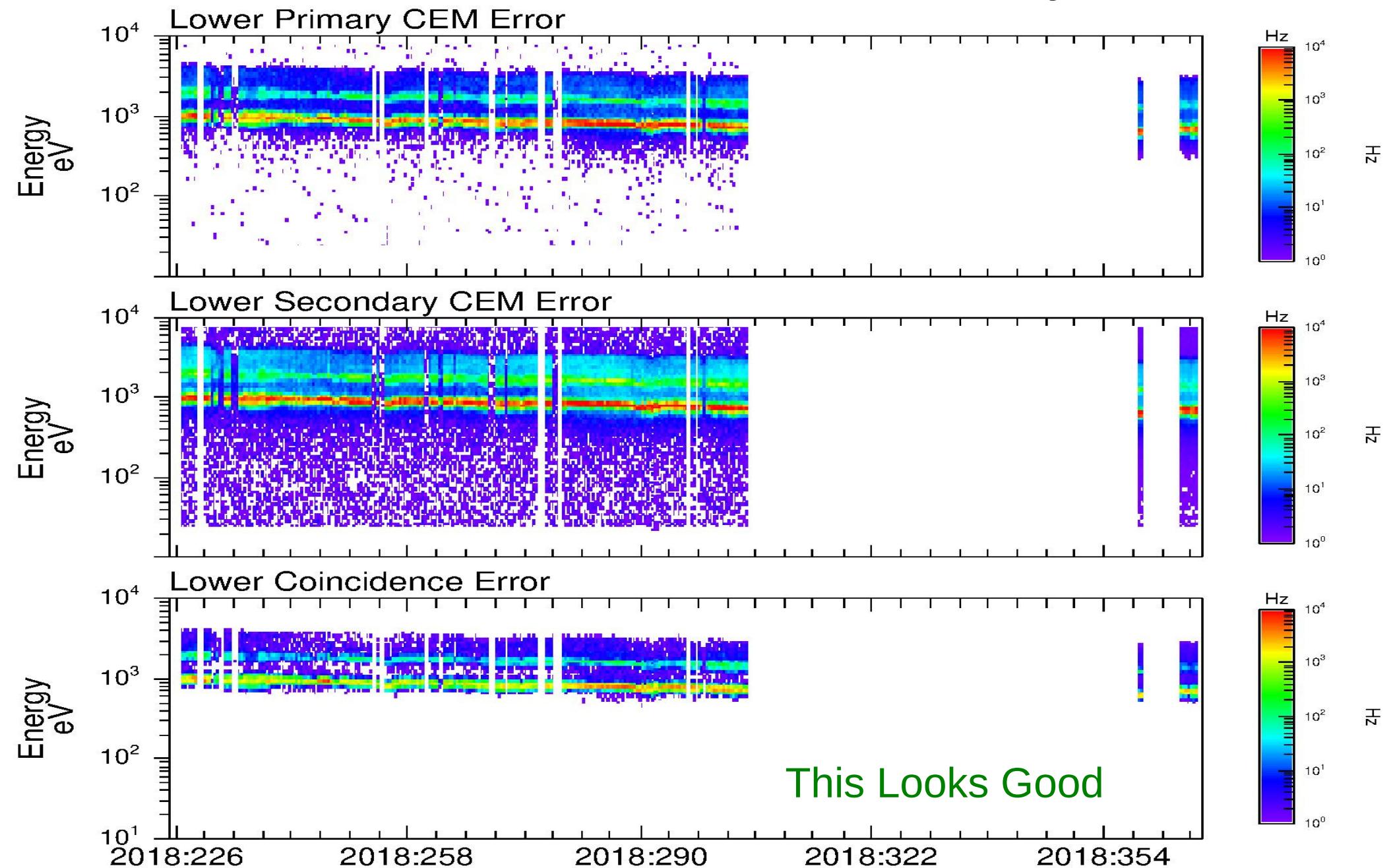
# nh-a-swap-3-kem1-v2.0/data Ultima Thule Encounter



# nh-a-swap-3-kem1-v2.0/data Absolute Maximum Uncertainty

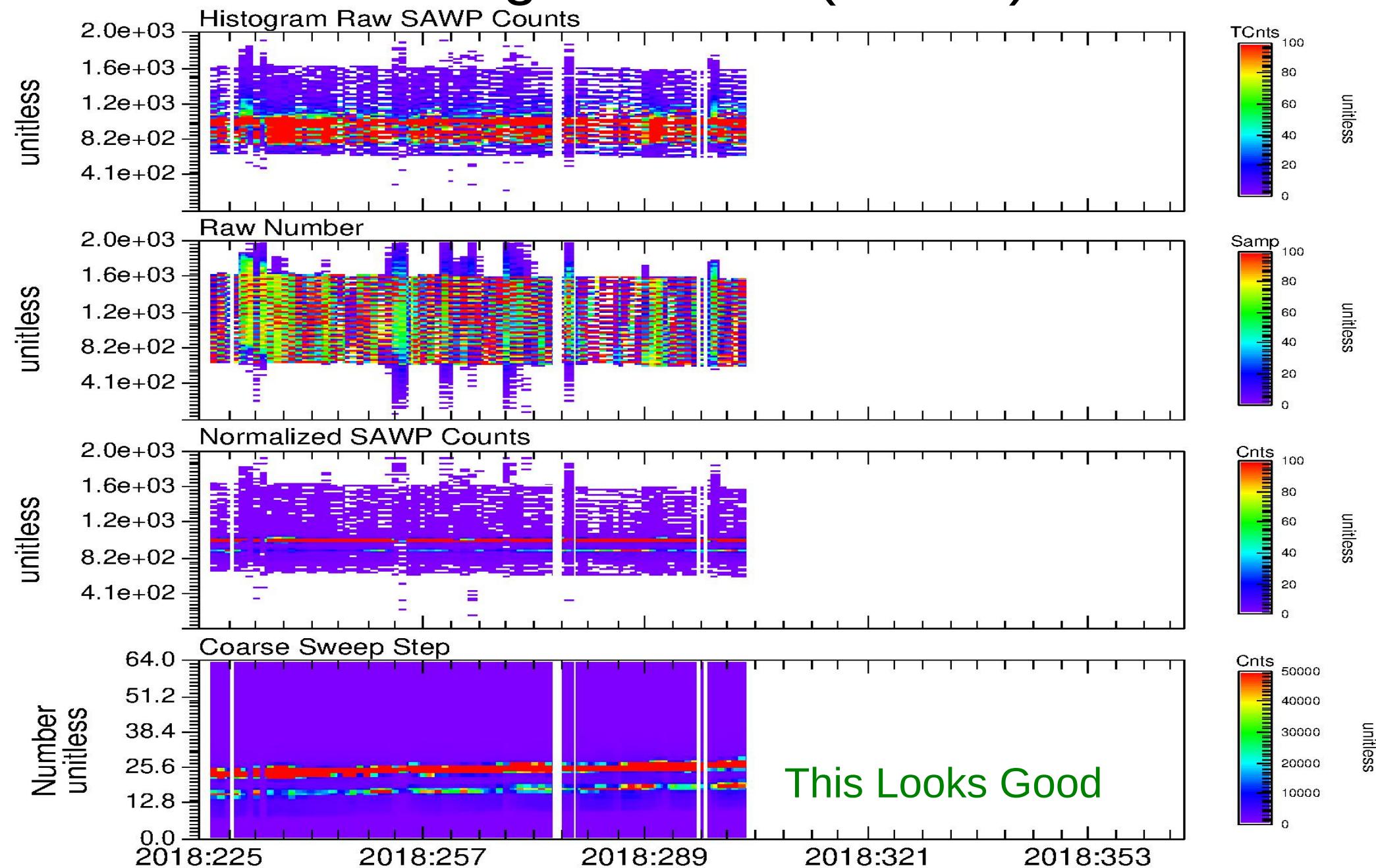


# nh-a-swap-3-kem1-v2.0/data Absolute Minimum Uncertainty



# nh-a-swap-3-kem1-v2.0/data

## Histogram Data (0x586)

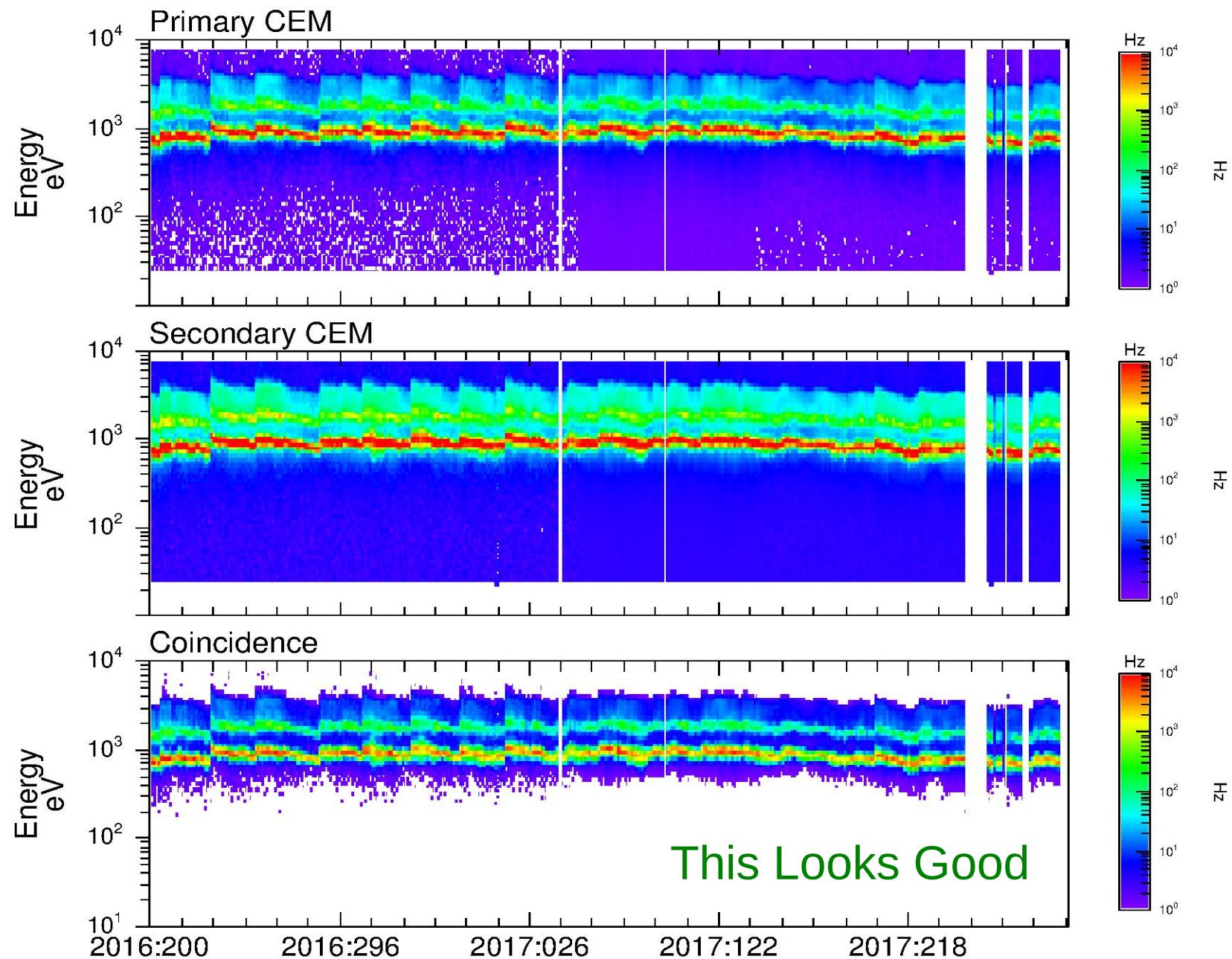


# Certification

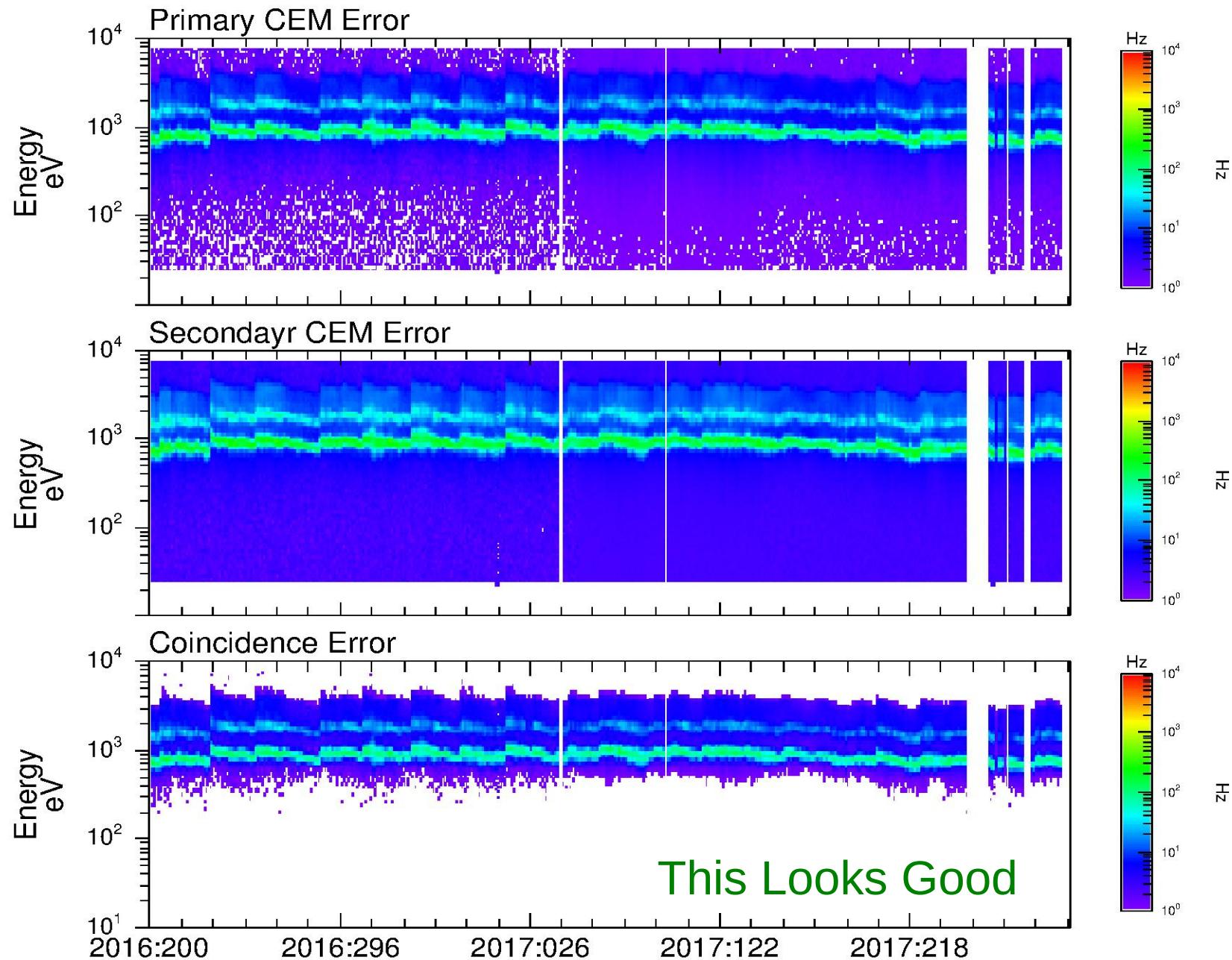
These SWAP data are certifiable and look good to be released to the public; however, there are some issues with the SWAP documentation. In particular, the SWAP calibration catalog file, the New Horizons spacecraft catalog file, and the instrument ICD. There are some other minor issues which need to be cleaned up. In addition, the project should look into whether or not the proper leap second SPICE kernel was included in the trajectory information. Although this probably would not affect SWAP, being 1 second off may effect other New Horizons spacecraft instruments.

# **BACK-UP SLIDES**

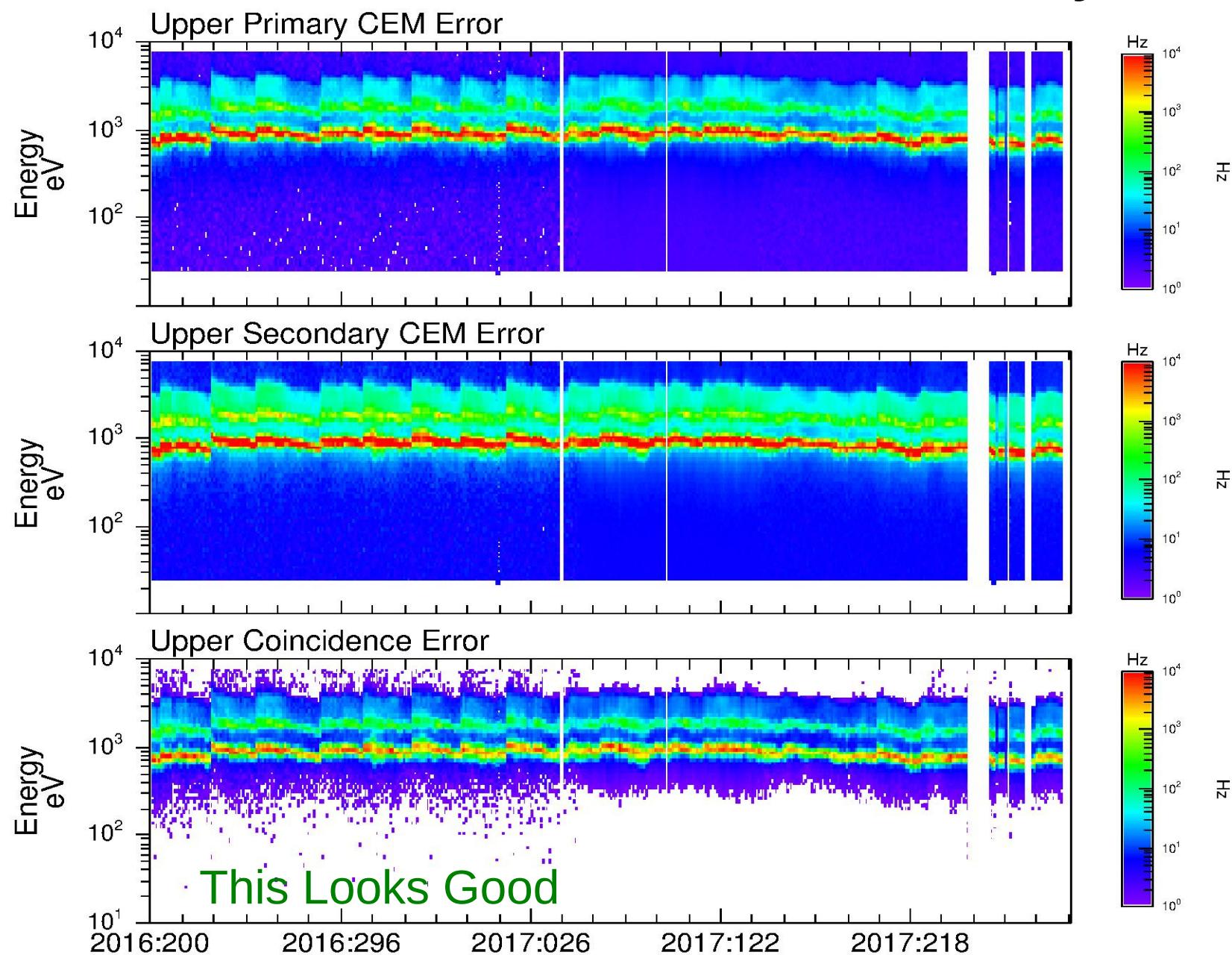
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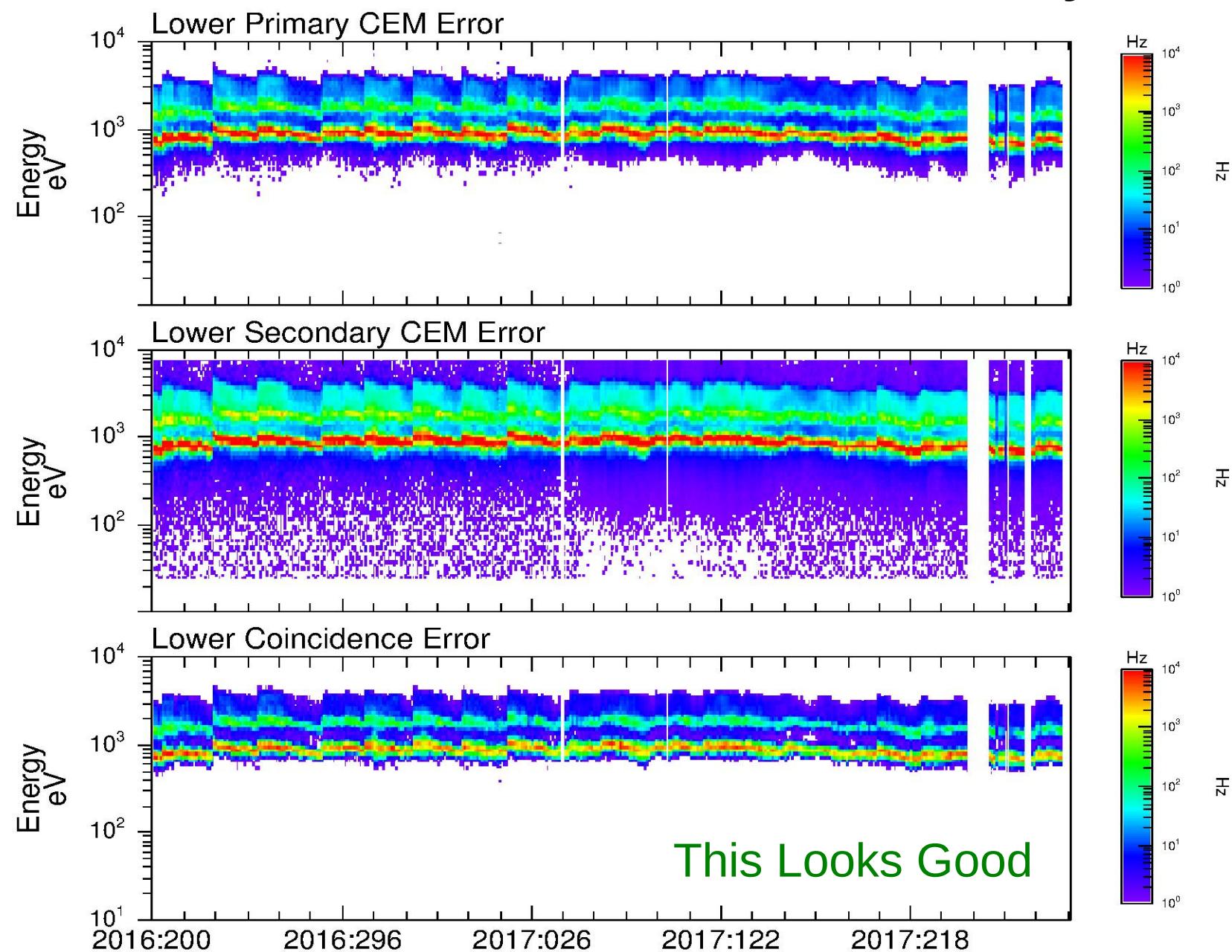
# nh-p-swap-3-pluto-v3.0/data Relative Uncertainty



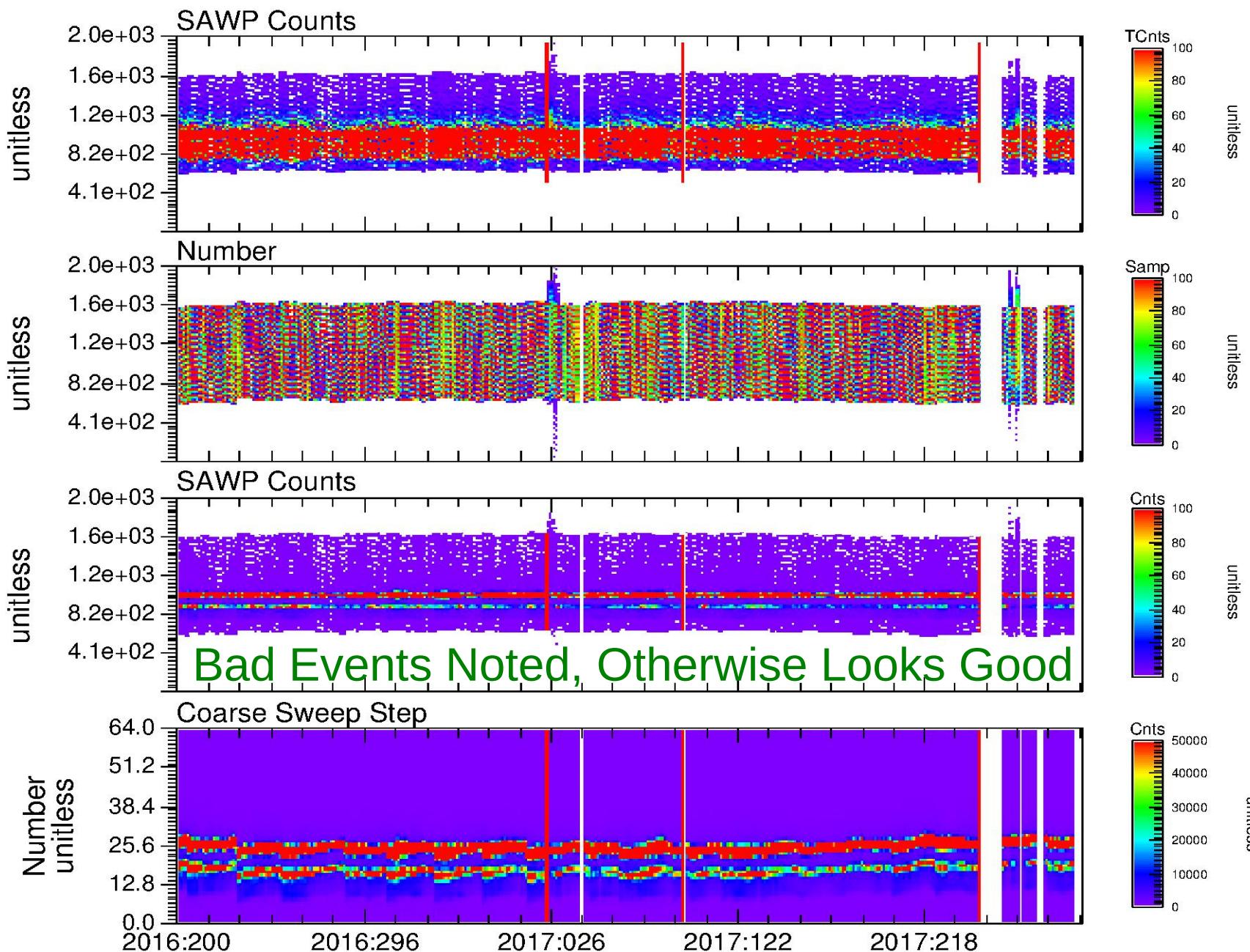
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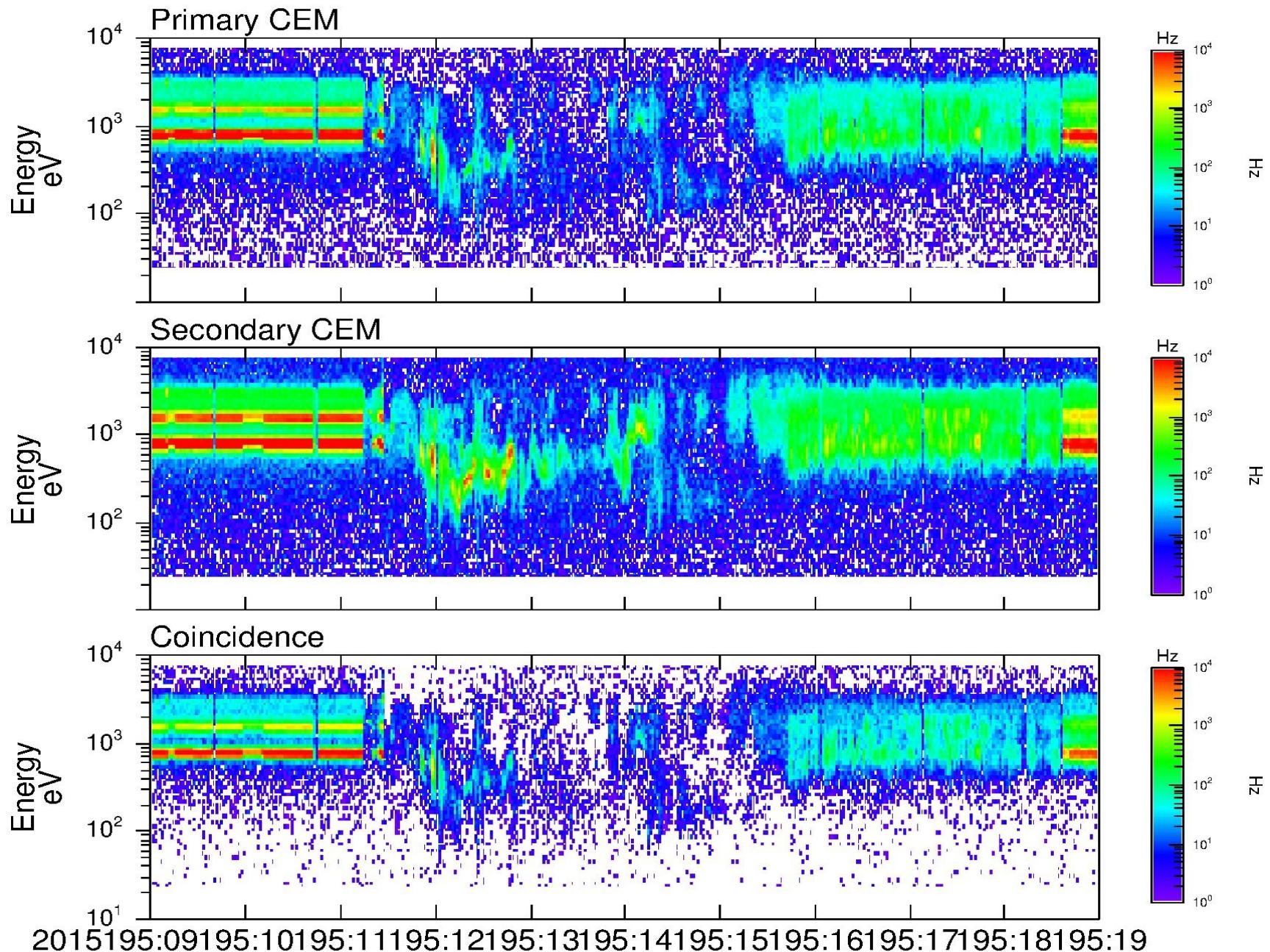
# nh-p-swap-3-pluto-v3.0/data Absolute Minimum Uncertainty



# nh-p-swap-3-pluto-v3.0/data Histogram Data (0x586)



# nh-p-swap-3-pluto-v3.0/data Pluto Encounter



nh-a-swap-2-kem1-v2.0  
nh-a-swap-3-kem1-v2.0  
aareadme.txt

GOOD

nh-a-swap-2-kem1-v2.0  
nh-a-swap-3-kem1-v2.0  
voldesc.cat

GOOD

nh-a-swap-2-kem1-v2.0/calib  
nh-a-swap-3-kem1-v2./calib  
calinfo.txt

GOOD

nh-a-swap-2-kem1-v2.0/calib  
nh-a-swap-3-kem1-v2.0/calib  
rpa\_shape.lbl and rpa\_shape.tab

GOOD

nh-a-swap-2-kem1-v2.0/calib  
nh-a-swap-3-kem1-v2.0/calib  
esa\_shape.lbl and esa\_shape.tab

GOOD

nh-a-swap-2-kem1-v2.0/calib

nh-a-swap-3-kem1-v2.0/calib

list\_energy\_files.lbl & list\_energy\_files.tab

GOOD

nh-a-swap-2-kem1-v2.0/calib

nh-a-swap-3-kem1-v2.0/calib

esa\_rpa\_v16\_energy\_binsf\_new.lbl

esa\_rpa\_v16\_energy\_binsf\_new.tab

GOOD

nh-a-swap-2-kem1-v2.0/calib

nh-a-swap-3-kem1-v2.0/calib

esa\_rpa\_v18\_energy\_binsf\_new.lbl

esa\_rpa\_v18\_energy\_binsf\_new.tab

GOOD

nh-a-swap-2-kem1-v2.0/calib

nh-a-swap-3-kem1-v2.0/calib

esa\_rpa\_v18\_energy\_binsf\_new2.lbl

esa\_rpa\_v18\_energy\_binsf\_new2.tab

GOOD

nh-a-swap-2-kem1-v2.0/calib

nh-a-swap-3-kem1-v2.0/calib

fov\_mask\_2d.lbl & fov\_mask\_2d.tab

GOOD

nh-a-swap-2-kem1-v2.0/calib  
nh-a-swap-3-kem1-v2.0/calib  
background\_009\_dac\_jup.lbl  
background\_009\_dac\_jup.tab

GOOD

nh-a-swap-2-kem1-v2.0/calib  
nh-a-swap-3-kem1-v2.0/calib  
background\_009\_dac.lbl  
background\_009\_dac.tab

GOOD

nh-a-swap-2-kem1-v2.0/index  
nh-a-swap-3-kem1-v2.0/index  
idxinfo.txt

GOOD

nh-a-swap-2-kem1-v2.0/index  
nh-a-swap-3-kem1-v2.0/index  
index.lbl & index.tab

GOOD

nh-a-swap-2-kem1-v2.0/index  
nh-a-swap-3-kem1-v2.0/index  
slimindx.lbl & slimindx.tab

GOOD

nh-a-swap-2-kem1-v2.0/index  
nh-a-swap-3-kem1-v2.0/index  
checksum.lbl & checksum.tab

GOOD

nh-a-swap-2-kem1-v2.0/catalog  
nh-a-swap-3-kem1-v2.0/catalog  
catinfo.txt

GOOD

nh-a-swap-2-kem1-v2.0/catalog  
nh-a-swap-3-kem1-v2.0/catalog  
ref.cat

GOOD

nh-a-swap-2-kem1-v2.0/catalog  
nh-a-swap-3-kem1-v2.0/catalog  
swap.cat

GOOD

nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
docinfo.txt

GOOD

nh-a-swap-2-kem1-v2.0/document

nh-a-swap-3-kem1-v2.0/document

codmac\_level\_definitions.lbl

codmac\_level\_definitions.pdf

GOOD

nh-a-swap-2-kem1-v2.0/document

nh-a-swap-3-kem1-v2.0/document

lunineetal1995.lbl & lunineetal1995.pdf

GOOD

nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
nh\_fov.lbl & nh\_fov.pdf

GOOD

nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
nh\_met2utc.lbl & nh\_met2utc.tab

GOOD

nh-a-swap-2-kem1-v2.0/document

nh-a-swap-3-kem1-v2.0/document

nh\_mission\_trajectory.lbl

nh\_mission\_trajectory.tab

GOOD

nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
nh\_swap\_v200\_ti.txt

GOOD

nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
payload\_ssr.lbl & payload\_ssr.pdf

GOOD

nh-a-swap-2-kem1-v2.0/document

nh-a-swap-3-kem1-v2.0/document

quat\_axyz\_instr\_to\_j2k.lbl

quat\_axyz\_instr\_to\_j2k.asc

GOOD

nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
swap\_ssr.lbl & swap\_ssr.pdf

GOOD

nh-a-swap-2-kem1-v2.0/document

nh-a-swap-3-kem1-v2.0/document

seq\_swap\_kem1.lbl & seq\_swap\_kem1.tab

GOOD

nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
swap\_cal.lbl

GOOD

nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
soc\_inst\_icd.lbl

GOOD

nh-a-swap-2-kem1-v2.0/document

nh-a-swap-3-kem1-v2.0/document

data\_summary\_plots/data\_summary.lbl

GOOD

nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
traj/trajinfo.txt

GOOD

nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
traj/traj(fmt

GOOD

nh-a-swap-2-kem1-v2.0/document  
nh-a-swap-3-kem1-v2.0/document  
traj/traj\_2006\_2021\_1d.lbl

GOOD